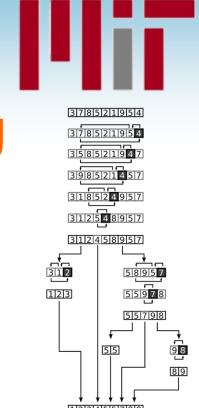
6.506: Algorithm Engineering

LECTURE 1
Introduction

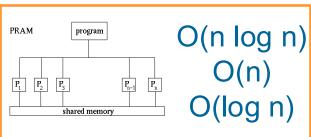
Julian Shun
September 4, 2025

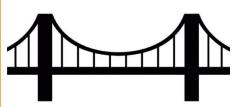


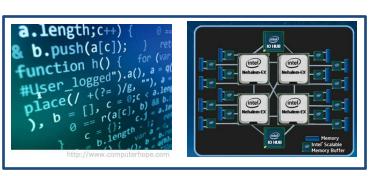


What is Algorithm Engineering?

- Algorithm design
- Algorithm analysis
- Algorithm implementation
- Optimization
- Profiling
- Experimental evaluation



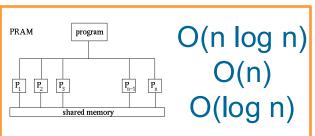


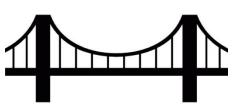


Theory

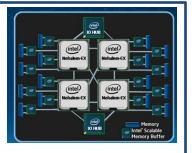
Practice

Bridging Theory and Practice









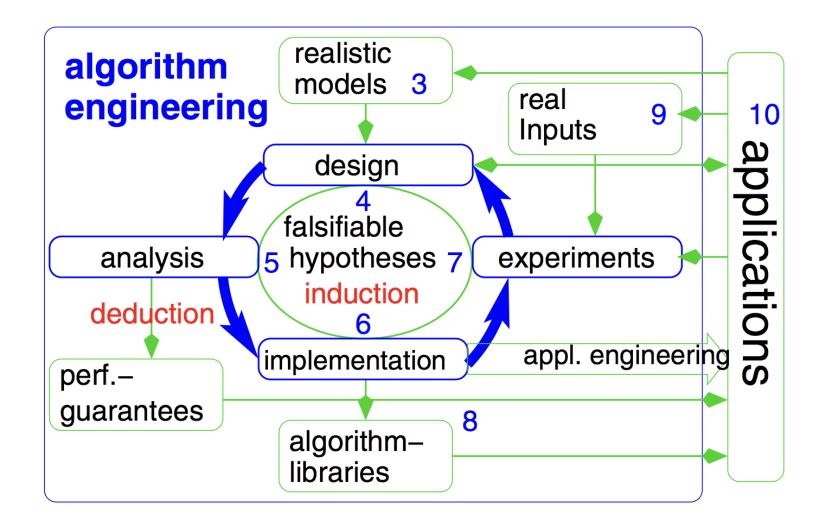
- Good empirical performance
- Confidence that algorithms will perform well in many different settings
- Ability to predict performance (e.g., in real-time applications)
- Important to develop theoretical models to capture properties of technologies

Use theory to inform practice and practice to inform theory.

Brief History

- In early days, implementing algorithms designed was standard practice
- 1970s-1980s: Algorithm theory is a subdiscipline in CS mostly devoted to "paper and pencil" work
- Late 1980s-1990s: Researchers began noticing gaps between theory and practice
- 1997: First Workshop on Algorithm Engineering (WAE) by P. Italiano (now part of ESA)
- 1998: Symposium on Algorithm Engineering & Experiments (ALENEX)
- 2003: Workshop on Experimental Algorithms (WEA), now Symposium on Experimental Algorithms (SEA)
- 2021: Conference on Applied and Computational Discrete Algorithms (ACDA)
- Nowadays many conferences have papers on algorithm engineering

What is Algorithm Engineering?



Models of Computation

- Random–Access Machine (RAM)
 - Infinite memory
 - Arithmetic operations, logical operations, and memory accesses take O(1) time
 - Most sequential algorithms are designed using this model (6.121/6.122)
- Nowadays computers are much more complex
 - Deep cache hierarchies
 - Instruction level parallelism
 - Multiple cores
 - Disk if input doesn't fit in memory
 - Read and write costs are not necessary the same

Algorithm Design & Analysis

Complexity

Algorithm 1 N log₂ N

Algorithm 2 1000 N

- Constant factors matter!
- Avoid unnecessary computations
- Simplicity improves applicability and can lead to better performance
- Think about locality and parallelism
- Think both about worst-case and realworld inputs
- Use theory as a guide to find practical algorithms
- Time vs. space tradeoffs
- Work vs. parallelism tradeoffs

Implementation

- Write clean, modular code
 - Easier to experiment with different methods, and can save a lot of development time
- Write correctness checkers
 - Especially important in numerical and geometric applications due to floating-point arithmetic, possibly leading to different results
- Save previous versions of your code!
 - Version control helps with this

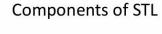
Experimentation

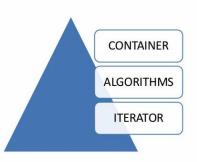
- Instrument code with timers and use performance profilers (e.g., perf, gprof, valgrind)
- Use large variety of inputs (both real-world and synthetic)
 - Use different sizes
 - Use worst-case inputs to identify correctness or performance issues
- Reproducibility
 - Document environmental setup
 - Fix random seeds if needed
- Run multiple times to deal with variance

Experimentation II

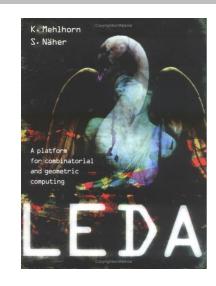
- For parallel code, test on varying number of processors to study scalability
- Compare with best serial code for problem
- For reproducibility, write deterministic parallel code if possible
 - Or make it easy to turn off non-determinism
- Use numactl to control NUMA effects on multi-socket machines
- Useful tools: Cilkscale, Cilksan

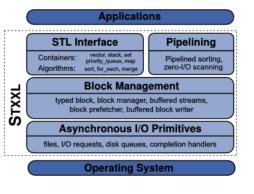
Libraries and Frameworks









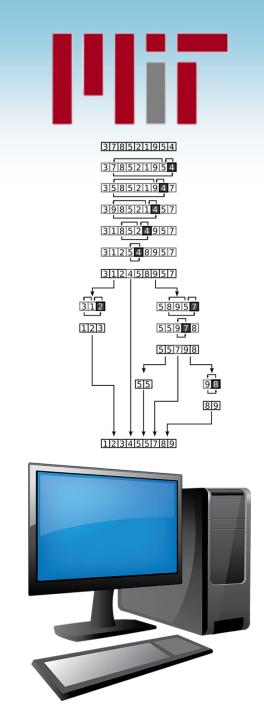






- Use efficient building blocks from existing libraries/frameworks when appropriate
- Contribute to existing libraries/frameworks or develop your own to help others and improve applicability

COURSE INFORMATION



Course Information

- Graduate-level class
 - Undergraduates who have taken 6.122 (6.046) and 6.106 (6.172) are welcome
- Lectures: Tuesday/Thursday 11am-12:30pm ET in 34-304
- Instructor:
 - Julian Shun (<u>jshun@mit.edu</u>)
- TA
 - Ryan Deng (<u>ryandeng@mit.edu</u>)
- Units: 3-0-9
- We will use Piazza for communication
- Office hours by appointment

Course Website

https://people.csail.mit.edu/jshun/6506-f25/

Date	Topic	Speaker	Required Reading	Optional Reading
Thursday 9/4	Course Introduction	Julian Shun	Algorithm Engineering - An Attempt at a Definition A Theoretician's Guide to the Experimental Analysis of Algorithms	Algorithm Engineering: Bridging the Gap Between Algorithm Theory, and Practice A Guide to Experimental Algorithmics Algorithm engineering: an attempt at a definition using sorting as an example Algorithm Engineering for Parallel Computation Distributed Algorithm Engineering Experimental algorithmics Programming Pearls Smoothed analysis of algorithms: Why the simplex algorithm usually takes polynomial time
Tuesday 9/9	Parallel Algorithms	Julian Shun	Parallel Algorithms Thinking in Parallel: Some Basic Data-Parallel Algorithms and Techniques (Chapters 4-8). CLRS Chapter 26 (Parallel Algorithms)	Prefix Sums and Their Applications Algorithm Design: Parallel and Sequential Introduction to Parallel Algorithms Scheduling Multithreaded Computations by Work Stealing Thread Scheduling for Multiprogrammed Multiprocessors Multidimensional Included and Excluded Sums Work-Efficient Parallel Algorithms for Accurate Floating-Point Prefix Sums Problem Based Benchmark Suite
Thursday 9/11	Parallel Graph Traversal		<u>Direction-Optimizing Breadth-First Search</u> Parallel Cluster-BFS and Applications to Shortest Paths	A Work-Efficient Parallel Breadth-First Search Algorithm (or How to Cope with the Nondeterminism of Reducers) Internally Deterministic Parallel Algorithms Can Be Fast SlimSell: A Vectorizable Graph Representation for Breadth-First Search The More the Merrier: Efficient Multi-Source Graph Traversal An Evaluation of Parallel Eccentricity Estimation Algorithms on Undirected Real-World Graphs

Grading

Grading Breakdown	
Paper Reviews	15%
Problem Set	10%
Paper Presentations	15%
Research Project	50%
Class Participation	10%

You must complete all assignments to pass the class.

Paper Presentations

- Cover content from 2 research papers each lecture
- 25-30 minute student presentation + Q&A per paper
 - Discuss motivation for the problem solved
 - Key technical ideas
 - Theoretical/experimental results
 - Related work
 - Strengths/weaknesses
 - Directions for future work
 - Include several questions for discussion
 - Presentation should cover necessary background to understand paper (you may have to read related papers)
 - Make slides but may use the whiteboard for theory
- Student presentations begin next Thursday 9/11
- Sign-up sheet will be released soon
- Please sign up even if you are a listener

Paper Reviews

- Submit one paper review for each lecture
 - Starting next Thursday 9/11
 - Cover motivation, key ideas, results, novelty, strengths/weaknesses, your ideas for improving the techniques or evaluation, any open problems or directions for further work
 - Submit on Canvas by 10am ET on the day of each lecture (before we cover the papers)

Problem Set

- Complete a problem set on parallel algorithms
 - To be released next week and due on Monday 10/6

Research Project

- Open-ended research project to be done in groups of 1-3 people
- Some ideas
 - Implementation of non-trivial algorithms
 - Analyzing/optimizing performance of existing algorithms
 - Designing new theoretically and/or practically efficient algorithms
 - Applying algorithms in the context of larger applications
 - Improving or designing algorithm frameworks or libraries, parallel runtime systems, or software productivity tools
 - Any topic may involve parallelism, cache-efficiency, I/Oefficiency, and memory-efficiency
- Must contain an implementation component
- Can be related to research that you are doing

Project Timeline

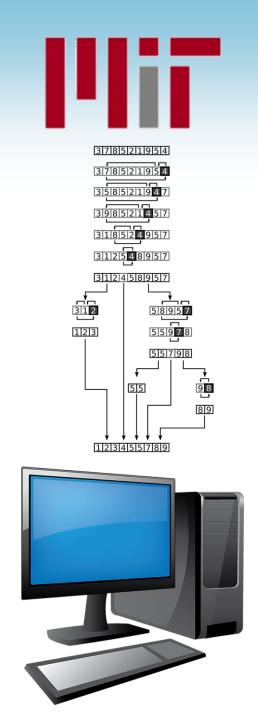
Assignment	Due Date
Pre-proposal meeting	10/7
Proposal	10/17
Weekly progress reports	10/24, 10/31, 11/7, 11/21, 12/5
Mid-term report	11/14
Project presentations	12/9
Final report	12/9

- Pre-proposal meeting
 - 15-minute meeting to run ideas by instructors
- Computing resources for the project
 - Sign up for Google Cloud Platform for free cloud computing credits
 - Talk to instructor if you need additional credits

Prohibited Use of Al Tools

- You may not use Al tools for
 - Summarizing papers and generating ideas for paper reviews and problem set
 - Writing project reports
 - Making presentation slides

PARALLELISM



Parallelism

Data is becoming very large!



41 million vertices
1.5 billion edges
(6.3 GB)



1.4 billion vertices6.6 billion edges(38 GB)

Common Crawl

3.5 billion vertices128 billion edges(540 GB)

Parallel machines are everywhere!





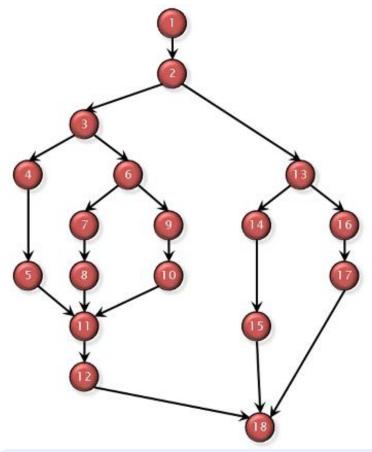




Can rent machines on AWS with up to 224 cores (448 hyper-threads) and 24TB of RAM

Parallelism Models

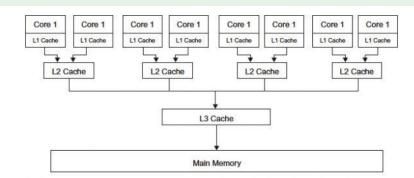
Computation graph



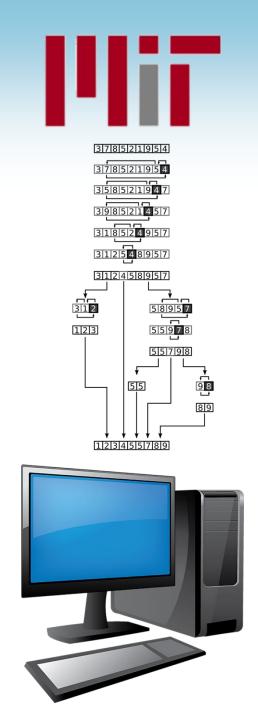
Goal 2: simple, practical, and cache-friendly

- Work = number of vertices in graph (number of operations)
- Span (depth) = longest directed path in graph (dependence length)
- Running time ≤ (Work/#processors)
 + O(Span)
- A work-efficient parallel algorithm has work that asymptotically matches that of the best sequential algorithm for the problem

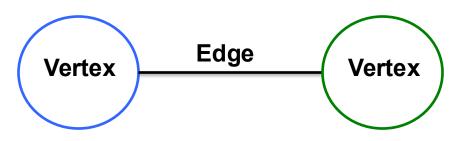
Goal 1: work-efficient and low (polylogarithmic) span algorithms



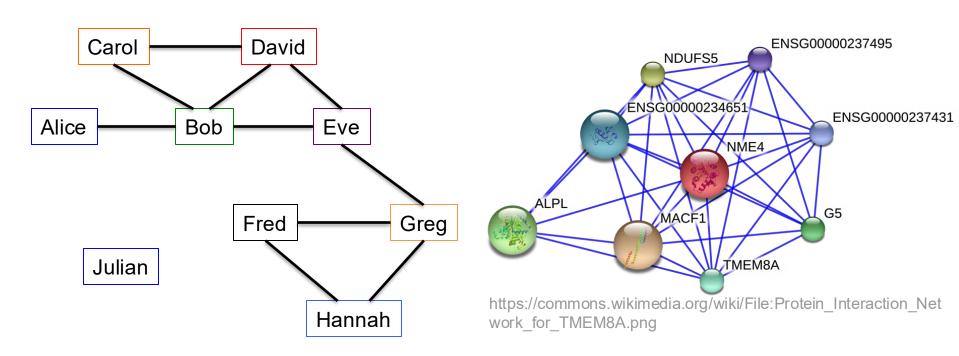
GRAPHS



What is a graph?



- Vertices model objects
- Edges model relationships between objects



Graph Representations

- Graph has n vertices and m edges
- Vertices labeled from 0 to n-1

	0		2	3	4		(0,1)
0	0	1	0	0	0		(1,0)
1	1	0	0	1	1		(1,4)
2	0	0	0	1	0		(2,3)
3	0	1	1	0	0		(3,1)
(4)	0	1	0	0	0		(3,2)
		I	l	<u>!</u>		1	(4,1)

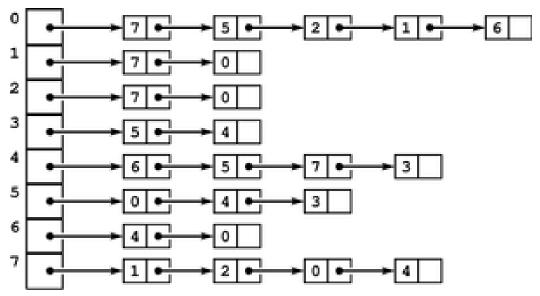
Adjacency matrix ("1" if edge exists, "0" otherwise)

Edge list

- O(n²) space for adjacency matrix
- O(m) space for edge list

Graph Representations

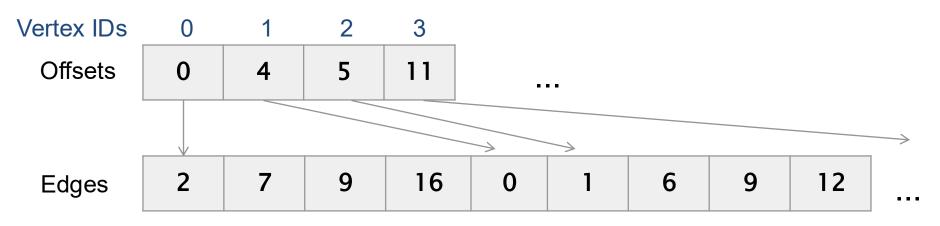
- Adjacency list
 - Array of pointers (one per vertex)
 - Each vertex has an unordered list of its edges



- Space requirement is O(n+m)
- Can substitute linked lists with arrays for better cache performance
 - Tradeoff: more expensive to update graph

Graph Representations

- Compressed sparse row (CSR)
 - Two arrays: Offsets and Edges
 - Offsets[i] stores the offset of where vertex i's edges start in Edges



- How do we know the degree of a vertex?
- Space usage is O(n+m)
- Can also store weights on the edges with an additional array or interleaved with Edges

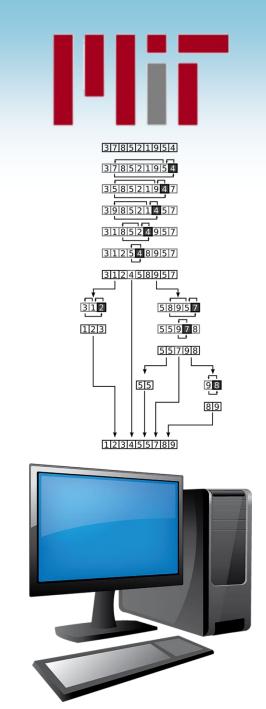
Tradeoffs in Graph Representations

What is the cost of different operations?

	Adjacency matrix	Edge list	Adjacency list (linked list)	Compressed sparse row
Storage cost / scanning whole graph	O(n ²)	O(m)	O(m+n)	O(m+n)
Add edge	O(1)	O(1)	O(1)	O(m+n)
Delete edge from vertex v	O(1)	O(m)	O(deg(v))	O(m+n)
Finding all neighbors of a vertex v	O(n)	O(m)	O(deg(v))	O(deg(v))
Finding if w is a neighbor of v	O(1)	O(m)	O(deg(v))	O(deg(v))

 There are variants/combinations of these representations

BREADTH-FIRST SEARCH

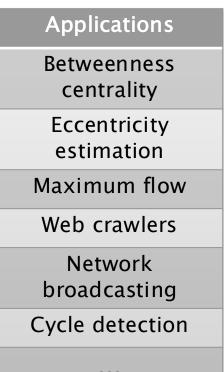


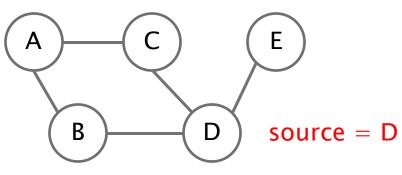
Breadth-First Search (BFS)

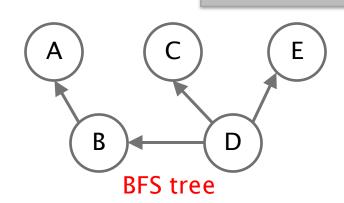
- Given a source vertex s, visit the vertices in order of distance from s
- Possible outputs:
 - Vertices in the order they were visited
 - D, B, C, E, A
 - The distance from each vertex to s

Α	В	C	D	Ε
2	1	1	0	1

 A BFS tree, where each vertex has a parent to a neighbor in the previous level







Sequential BFS Algorithm

```
procedure BFS(G, root) is
 2
        let Q be a queue
        label root as explored
 4
        Q.enqueue(root)
        while Q is not empty do
 5
 6
            v := Q.dequeue()
 9
            for all edges from v to w in G.adjacentEdges(v) do
10
                if w is not labeled as explored then
11
                     label w as explored
12
                    Q.enqueue(w)
```

Source: https://en.wikipedia.org/wiki/Breadth-first_search

- What is the running time of BFS?
 - Each node is enqueued and dequeued once: O(n)
 - Each edge is visited once in each direction: O(m)
- Total: O(n+m)

Sequential BFS Algorithm

- Assume graph is given in compressed sparse row format
 - Two arrays: Offsets and Edges
 - n vertices and m edges (assume Offsets[n] = m)

```
int* parent =
  (int*) malloc(sizeof(int)*n);
int* queue =
  (int*) malloc(sizeof(int)*n);

for(int i=0; i<n; i++) {
   parent[i] = -1;
}

queue[0] = source;
parent[source] = source;
int q_front = 0, q_back = 1;</pre>
```

- What is the most expensive part of the code?
 - Random accesses cost more than sequential accesses

Analyzing the program

```
int* parent =
  (int*) malloc(sizeof(int)*n);
int* queue =
   (int*) malloc(sizeof(int)*n);

for(int i=0; i<n; i++) {
    parent[i] = -1;
}

queue[0] = source;
parent[source] = source;
int q_front = 0; q_back = 1;</pre>
```

```
//while queue not empty
while(q front != q back) {
   int current = queue[q front++]; //dequeue
   int degree =
        Offsets[current+1]-Offsets[current];
   for(int i=0;i<degree; i++) {</pre>
        int ngh = Edges[Offsets[current]+i];
        //check if neighbor has been visited
        if(parent[ngh] == -1) {
            parent[ngh] = current;
            //enqueue neighbor
            queue[q back++] = ngh;
                     Check bitvector first before
                       accessing parent array
```

n cache misses instead of m

- What if we can fit a bitvector of size n in cache?
 - Might reduce the number of cache misses
 - More computation to do bit manipulation

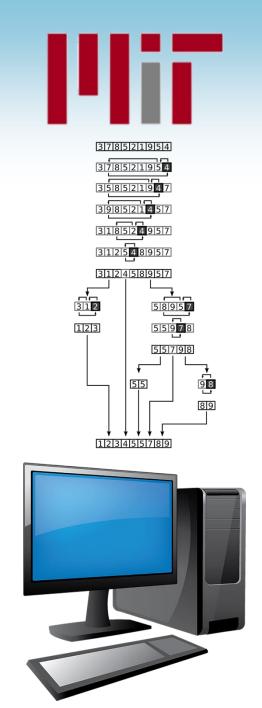
BFS with bitvector

```
int* parent =
 (int*) malloc(sizeof(int)*n);
int* queue =
 (int*) malloc(sizeof(int)*n);
int nv = 1 + n/32;
int* visited =
 (int*) malloc(sizeof(int)*nv);
for(int i=0; i<n; i++) {
   parent[i] = -1;
for(int i=0; i<nv; i++) {
   visited[i] = 0;
queue[0] = source;
parent[source] = source;
visited[source/32]
   = (1 << (source % 32));
int q front = 0; q back = 1;
```

```
//while queue not empty
while(q front != q back) {
   int current = queue[q front++]; //dequeue
   int degree =
       Offsets[current+1]-Offsets[current];
   for(int i=0;i<degree; i++) {</pre>
      int ngh = Edges[Offsets[current]+i];
      //check if neighbor has been visited
      if(!((1 << ngh%32) & visited[ngh/32])){
         visited[ngh/32] = (1 << (ngh%32));
         parent[ngh] = current;
         //engueue neighbor
         queue[q back++] = ngh;
```

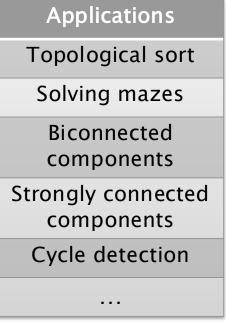
 Bitvector version is faster for large enough values of m

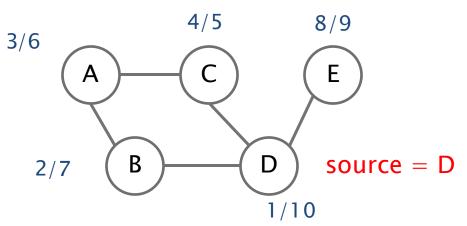
DEPTH-FIRST SEARCH



Depth-First Search (DFS)

- Explores edges out of the most recently discovered vertex
- Possible outputs:
 - Depth-first forest
 - Vertices in the order they were first visited (preordering)
 - Vertices in the order they were last visited (postordering)
 - Reverse postordering

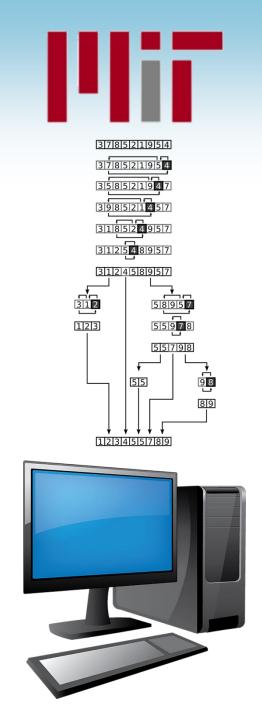




Preorder: D, B, A, C, E Postorder: C, A, B, E, D Reverse postorder: D, E, B, A, C

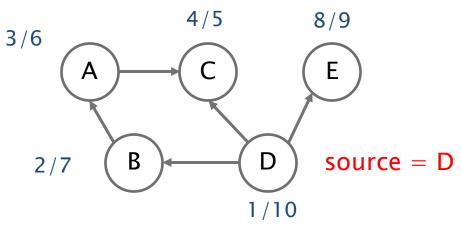
DFS requires O(n+m) work on n vertices and m edges

TOPOLOGICAL SORT



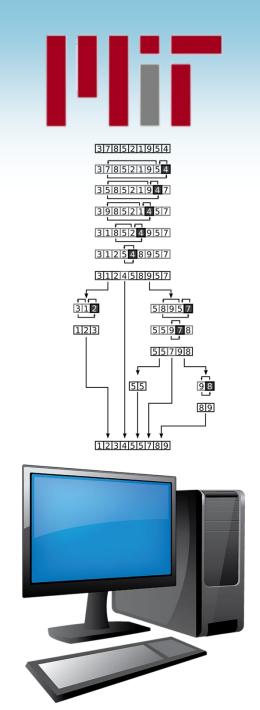
Topological Sort

- Given a directed acyclic graph, output the vertices in an order such that all predecessors of a vertex appear before it
 - Application: scheduling tasks with dependencies (e.g., parallel computing, Makefile)
- Solution: output vertices in reverse postorder in DFS



Reverse postorder: D, E, B, A, C

SHORTEST PATHS



Single-Source Shortest Paths

- Given a weighted graph and a source vertex, output the distance from the source vertex to every vertex
- Non-negative weights
 - Dijkstra's algorithm
 - O(m + n log n) work using Fibonacci heap
- General weights
 - Bellman–Ford algorithm
 - O(mn) work

Dijkstra's Algorithm

- O((m+n)log n) work using normal heap
- O(m + n log n) work using Fibonacci heap
 - Extract-min takes O(log n) work but decreasing priority only takes O(1) work (amortized)

Bellman-Ford Algorithm

```
Bellman-Ford(G, source):
   ShortestPaths = \{\infty, \infty, ..., \infty\} //size n; stores shortest path distances
   ShortestPaths[source] = 0
   for i=1 to n:
       for each vertex v in G:
           for each w in neighbors(v):
                if(ShortestPaths[v] + weight(v,w) < ShortestPaths[w]):
                    ShortestPaths[w] = ShortestPaths[v] + weight(v,w)
       if no shortest paths changed:
           return ShortestPaths
   report "negative cycle"
```

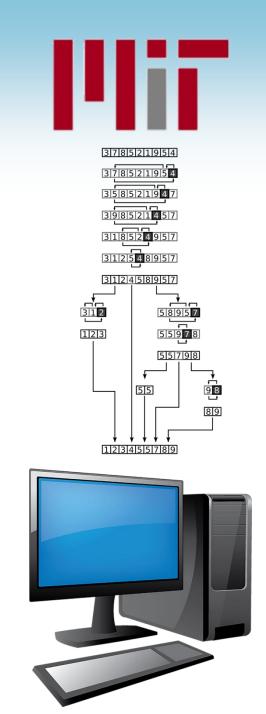
- At most O(n) rounds, each doing O(n+m) work
- Total work = O(mn)

More Graph Algorithms

- We will study algorithms for particular problems
 - Parallelism, cache-efficiency, and/or dynamic updates

Breadth-first search	Betweenness centrality
PageRank	Spanning forest
k-core decomposition	Maximal independent set
Connected components	Graph clustering
Shortest paths	Subgraph finding

GRAPH PROCESSING FRAMEWORKS



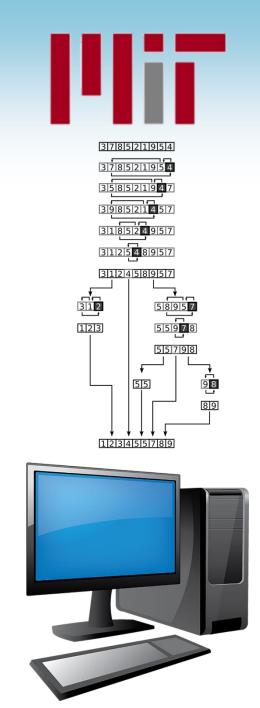
Graph Processing Frameworks

- Provides high-level primitives for graph algorithms
- Reduce programming effort of writing efficient parallel graph programs

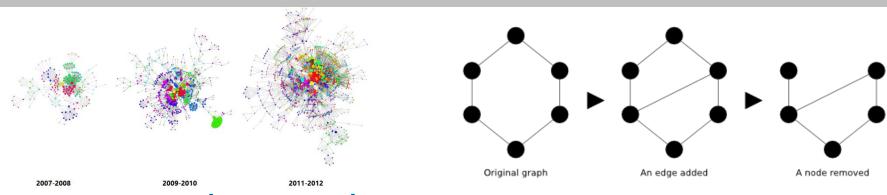
Graph processing frameworks/libraries

Pregel, Giraph, GPS, GraphLab, PowerGraph, PRISM, Pegasus, Knowledge Discovery Toolbox, CombBLAS, GraphChi, GraphX, Galois, X-Stream, Gunrock, GraphMat, Ringo, TurboGraph, TurboGraph++, FlashGraph, Grace, PathGraph, Polymer, GPSA, GoFFish, Blogel, LightGraph, MapGraph, PowerLyra, PowerSwitch, Imitator, XDGP, Signal/Collect, PrefEdge, EmptyHeaded, Gemini, Wukong, Parallel BGL, KLA, Grappa, Chronos, Green-Marl, GraphHP, P++, LLAMA, Venus, Cyclops, Medusa, NScale, Neo4J, Trinity, GBase, HyperGraphDB, Horton, GSPARQL, Titan, ZipG, Cagra, Milk, Ligra, Ligra+, Julienne, GraphPad, Mosaic, BigSparse, Graphene, Mizan, Green-Marl, PGX, PGX.D, Wukong+S, Stinger, cuStinger, Distinger, Hornet, GraphIn, Tornado, Bagel, KickStarter, Naiad, Kineograph, GraphMap, Presto, Cube, Giraph++, Photon, TuX2, GRAPE, GraM, Congra, MTGL, GridGraph, NXgraph, Chaos, Mmap, Clip, Floe, GraphGrind, DualSim, ScaleMine, Arabesque, GraMi, SAHAD, Facebook TAO, Weaver, G-SQL, G-SPARQL, gStore, Horton+, S2RDF, Quegel, EAGRE, Shape, RDF-3X, CuSha, Garaph, Totem, GTS, Frog, GBTL-CUDA, Graphulo, Zorro, Coral, GraphTau, Wonderland, GraphP, GraphIt, GraPu, GraphJet, ImmortalGraph, LA3, CellIQ, AsyncStripe, Cgraph, GraphD, GraphH, ASAP, RStream, and many others...

DYNAMIC GRAPHS

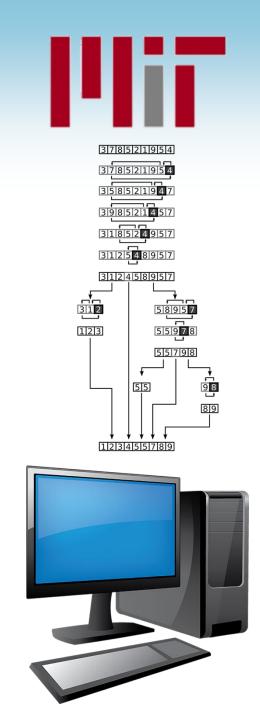


Dynamic Graphs

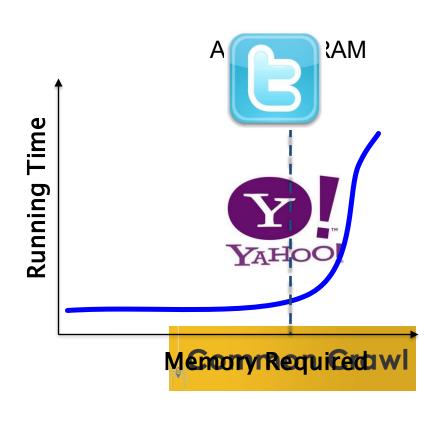


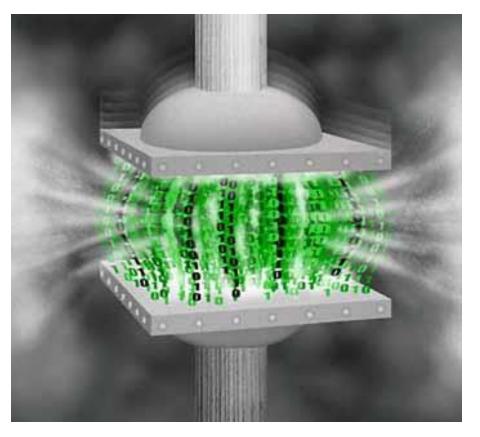
- Many graphs are changing over time
 - Adding/deleting connections on social networks
 - Traffic conditions changing
 - Communication networks (email, IMs)
 - World Wide Web
 - Content sharing (Youtube, Flickr, Pinterest)
- Need graph data structures that allow for efficient updates (in parallel)
- Need (parallel) algorithms that respond to changes without re-computing from scratch

COMPRESSION



Large Graphs

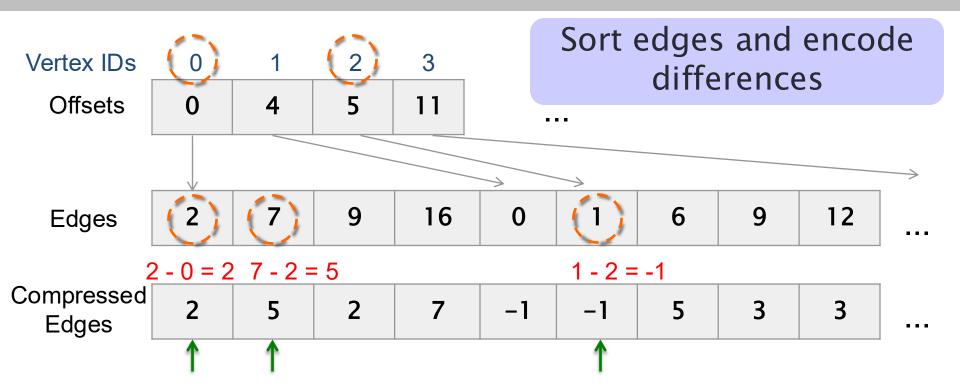




- What if you cannot fit a graph on your machine?
- · Cost of machines increases with memory size

Graph Compression

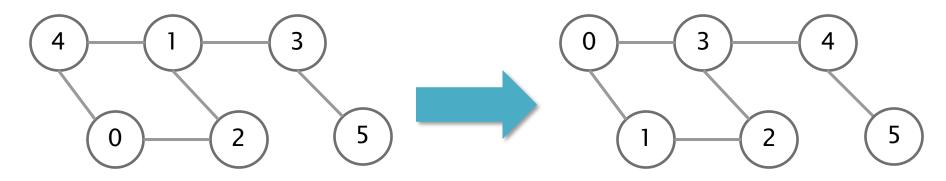
Graph Compression on CSR



- For each vertex v:
 - First edge: difference is Edges[Offsets[v]]-v
 - i'th edge (i>1): difference is Edges[Offsets[v]+i]-Edges[Offsets[v]+i-1]
- Want to use fewer than 32 or 64 bits per value
- Compression can improve running time

Graph Reordering

- Reassign IDs to vertices to improve locality
 - Goal: Make vertex IDs close to their neighbors' IDs and neighbors' IDs close to each other

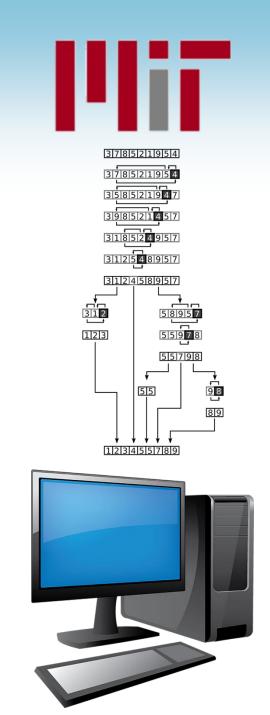


Sum of differences = 23

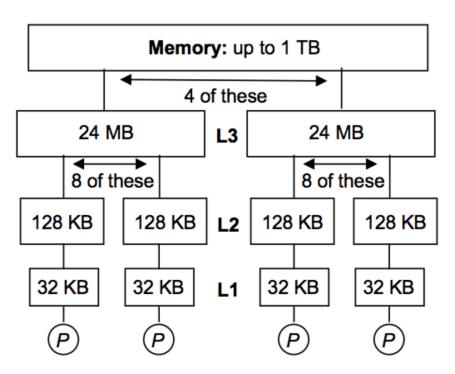
Sum of differences = 20

- Can improve compression rate due to smaller "differences"
- Can improve performance due to higher cache hit rate
- Various methods: BFS, DFS, METIS, degree, etc.

CACHE-EFFICIENCY AND I/O-EFFICIENCY



Cache Hierarchies



Design cacheefficient and cacheoblivious algorithms to improve locality

Memory level	Approx latency
L1 Cache	1-2ns
L2 Cache	3-5ns
L3 cache	12-40ns
DRAM	60-100ns

I/O Efficiency



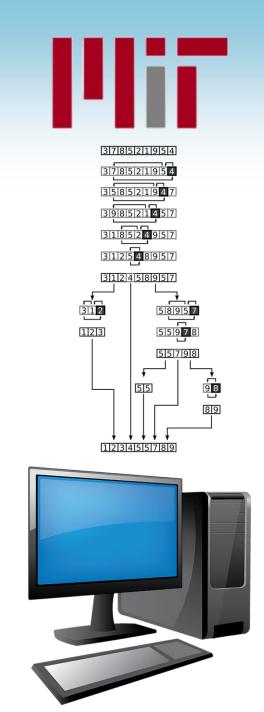


- Need to read input from disk at least once
- May need to read many more times if input doesn't fit in memory

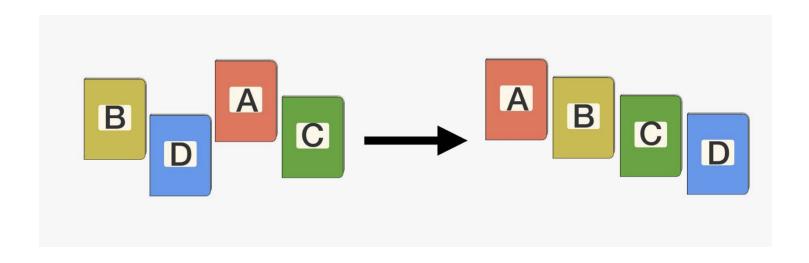
Memory	Latency	Throughput
DRAM	60-100 ns	Tens of GB/s
SSD	Tens of µs	500 MB-2 GB/s (seq), 50-200 MB/s (rand)
HDD	Tens of ms	200 MB/s (seq), 1 MB/s (rand)

Source: https://www.pcgamer.com/hard-drive-vs-ssd-performance/2/

SORTING ALGORITHMS

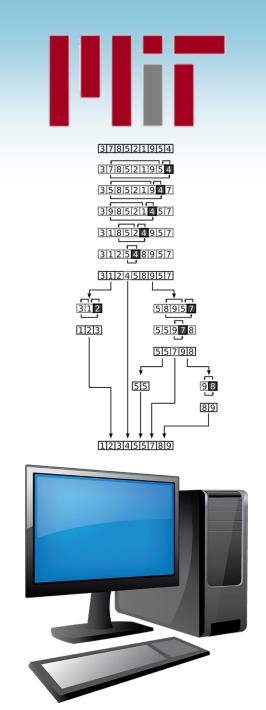


Sorting



- Lots of research on engineering sorting algorithms
- Will study parallel comparison sorting and integer sorting algorithms
- http://sortbenchmark.org/

JOINS AND AGGREGATION

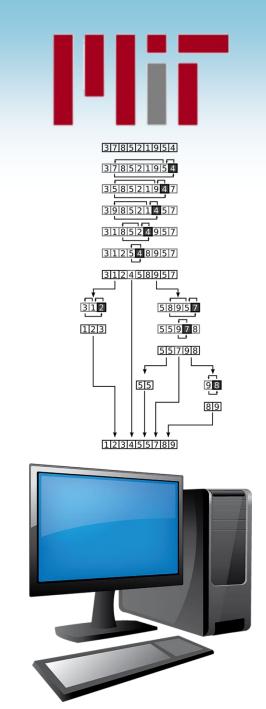


Joins and Aggregation



- JOIN and GROUPBY are two of the most expensive operations in database systems
- We will study algorithms and optimizations for these operations (in main-memory)

STENCIL COMPUTATIONS

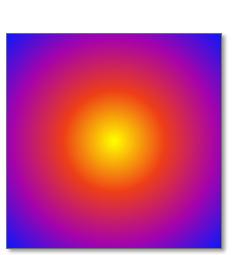


Stencil Computations

 Computations that iteratively update data based on a fixed pattern (stencil)

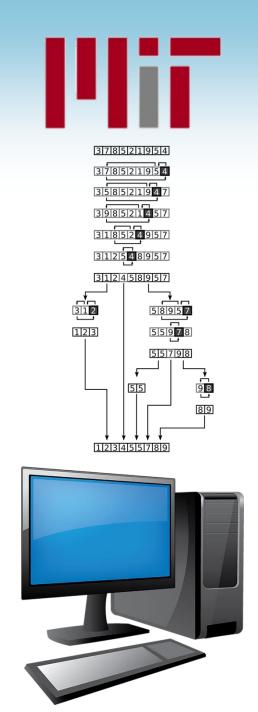
 For example, can be used to approximately solve heat equation

 We will study algorithms for stencil computations that improve on work, parallelism, and cache-efficiency over standard approaches



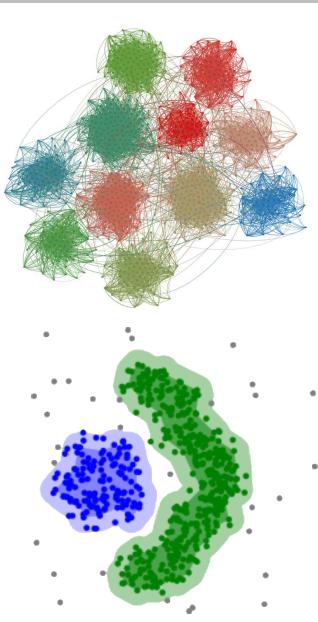
Source: https://en.wikipedia.org/wiki/Iterative_Stencil_Loops

CLUSTERING

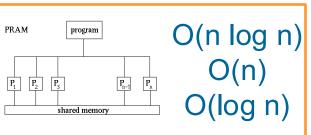


Clustering

- Group "similar" objects together, and separate "dissimilar" objects
- Can be applied to graph data and spatial data
- Applications: Community detection, bioinformatics, parallel/distributed processing, visualization, image segmentation, anomaly detection, document analysis, machine learning, etc.

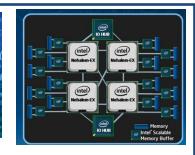


Summary









- Lots of exciting research going on in algorithm engineering!
- Take this course to learn about latest results and try out research in the area