

# Theoretically-Efficient and Practical Parallel In-Place Radix Sorting

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Julian Shun

# Agenda

- Introduction
  - Motivation
  - Related Work
- Regions Sort: a new relaxed PIP algorithm for radix sort
  - Algorithm Design
  - Theoretical Analysis
- Experiments
  - Setup
  - Results

# Motivation

# Why Radix Sort?

Takes  $O(n)$  work for fixed length integers.

Comparison-based sorts take  $\Omega(n \log(n))$  work.

# In-Place Algorithms

What are in-place algorithms?

- Require at most sublinear auxiliary space.

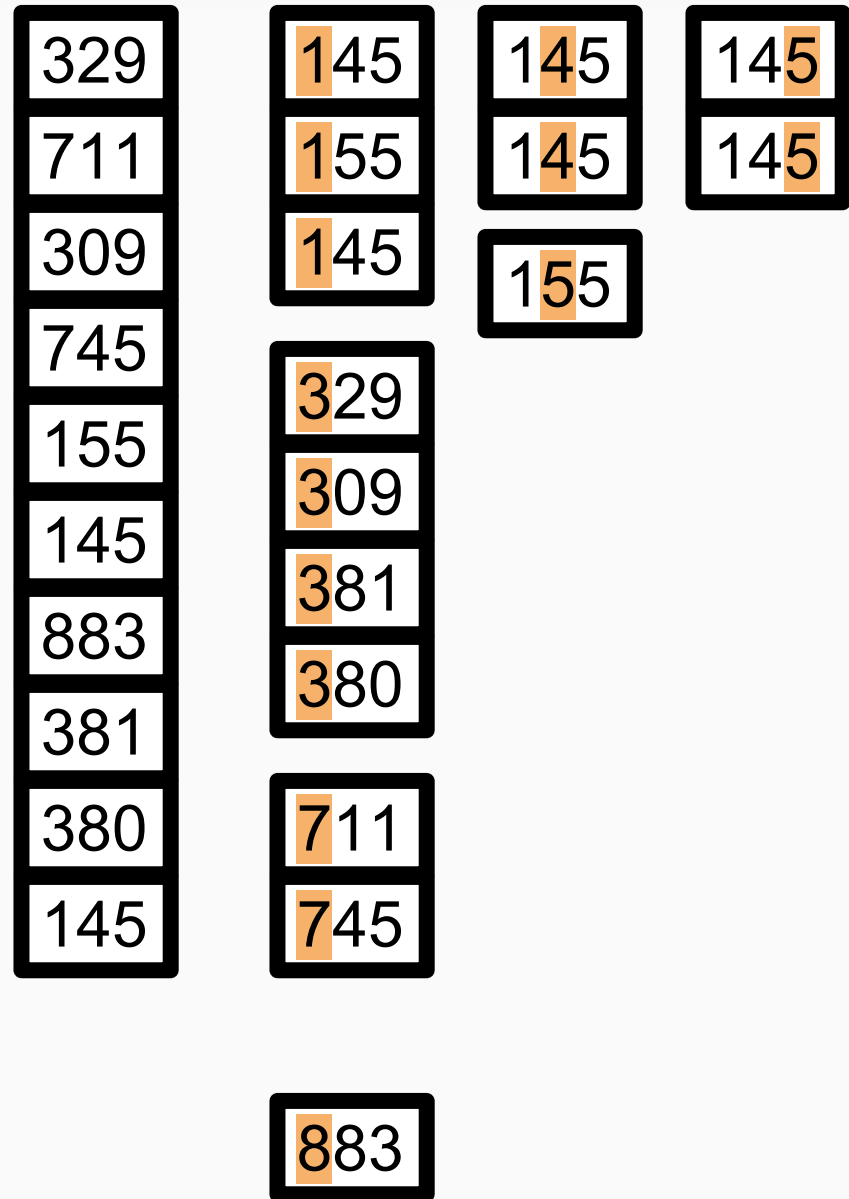
Why in-place?

- Smaller memory footprint!
- Potentially better utilization of cache.

# (Most Significant Digit First) Radix Sort

## Radix Sort

- Sort elements according to one digit at a time.
- Most significant digit to least significant digit.
- Recurse on elements with equal digits.



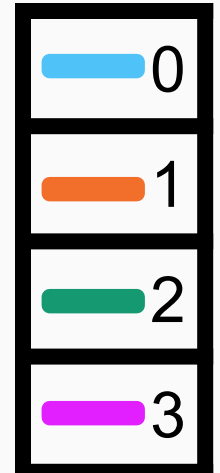
# Terminology: Country

**Country**: sub-array that will include elements belonging to the **same bucket** after sorting.

Input:



Output:



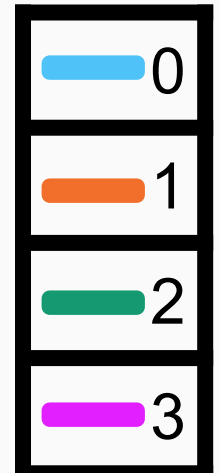
# Radix Sort: Subproblem

Sort elements according to digits such that each element is in the **correct country**.

Input:



Output:





# Serial In- place Radix Sort

1. Find start location of each country (Histogram Building).
2. Move items to the correct country in-place.

# Histogram Building

Input:



Sizes:



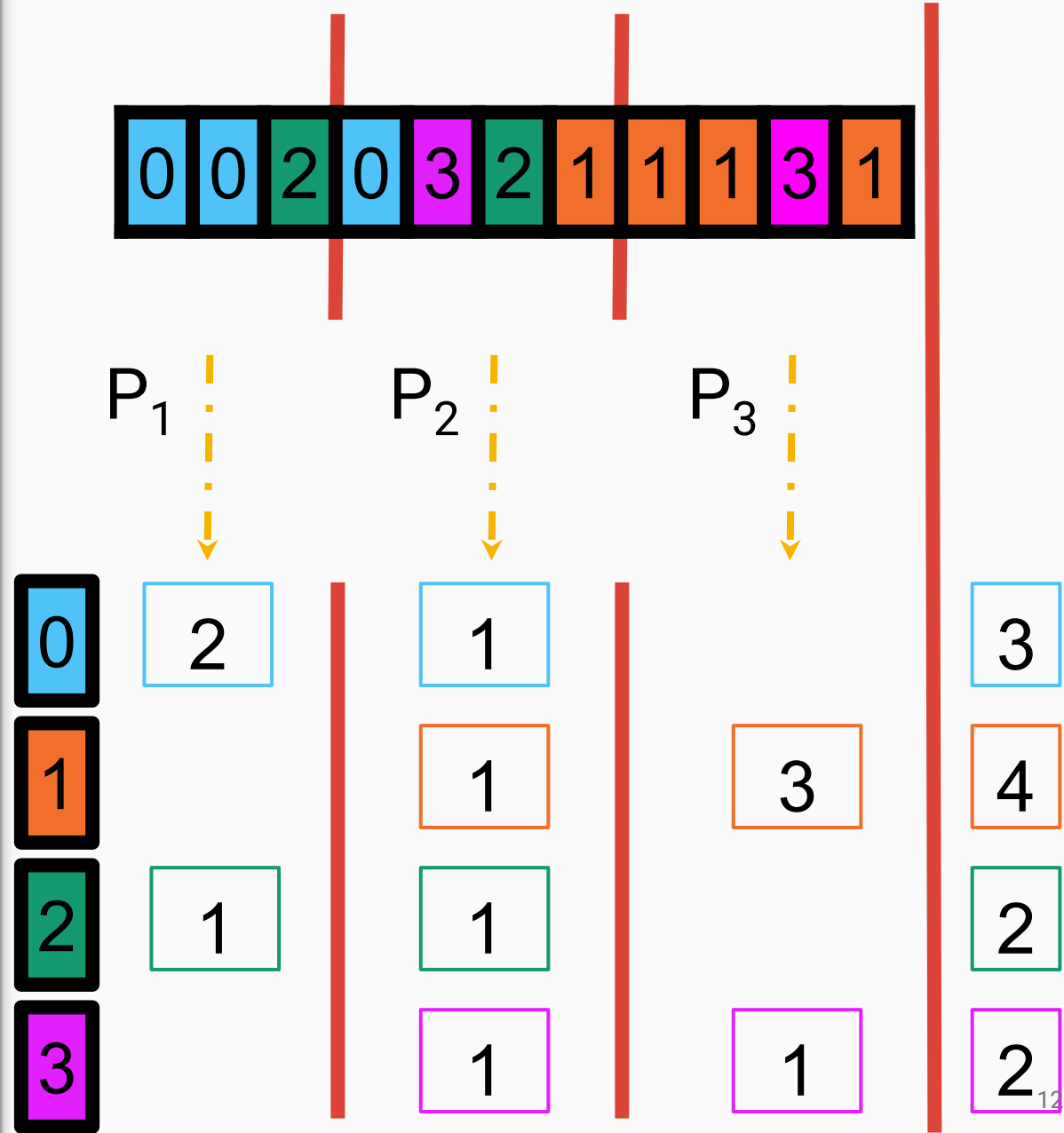
Prefix sum:



Output:



# Parallel Histogram Building



# Serial In-place Radix Sort

Initialize pointer to beginning of each country

For each country:

While (pointer not at end of country) {

While(item pointed to is not in correct country) {

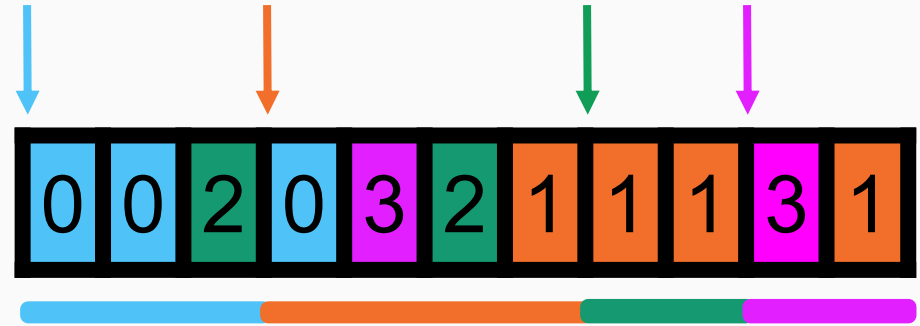
Swap item to location pointed to in target country

Increment target country pointer

}

Increment current country pointer

}



# Serial In-place Radix Sort

Initialize pointer to beginning of each country

For each country:

While (pointer not at end of country) {

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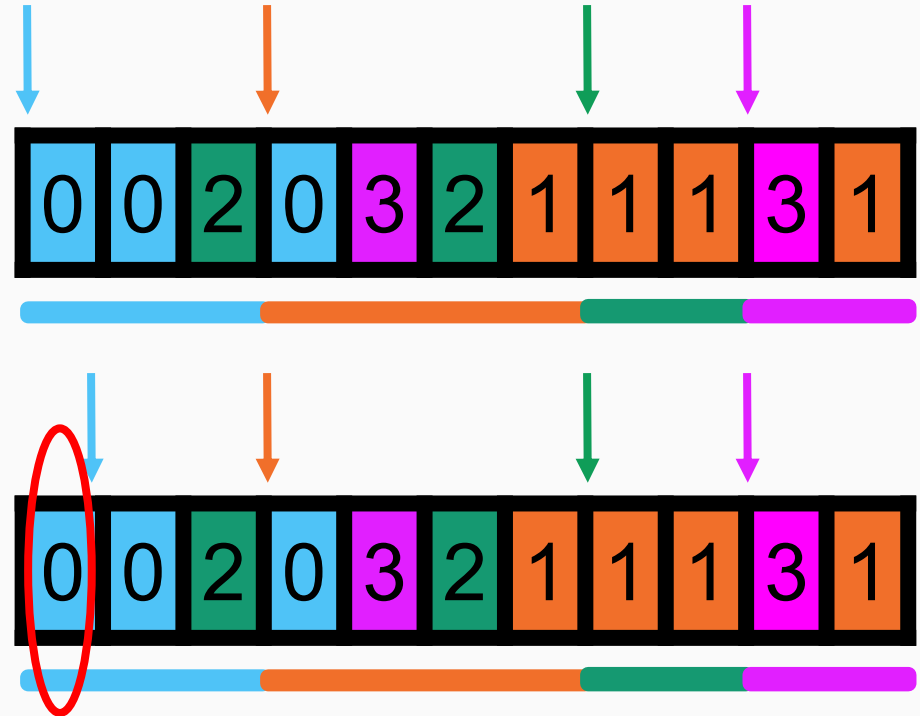
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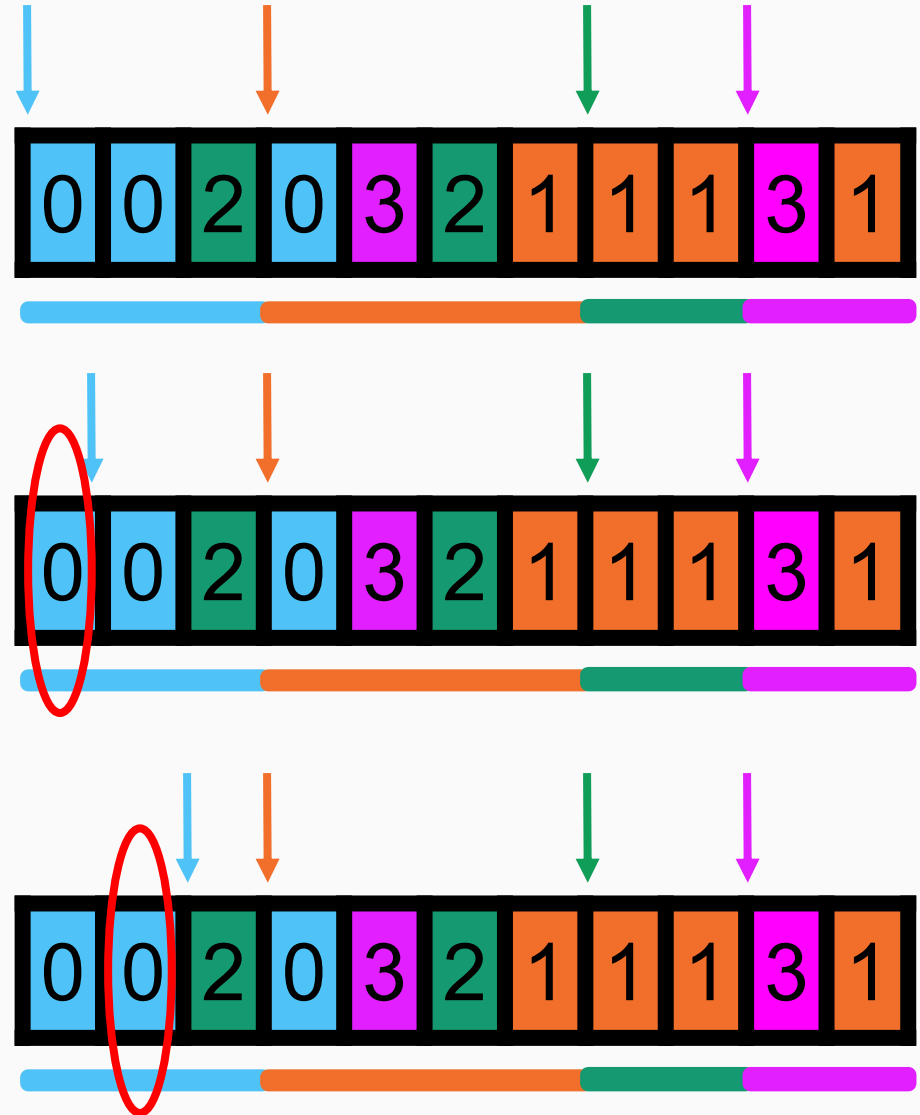
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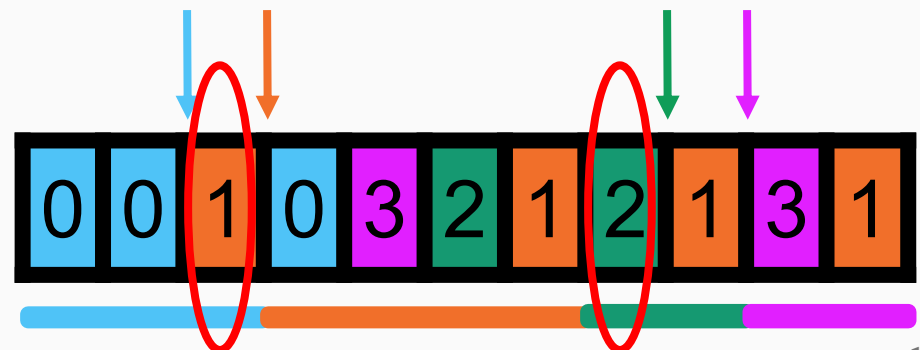
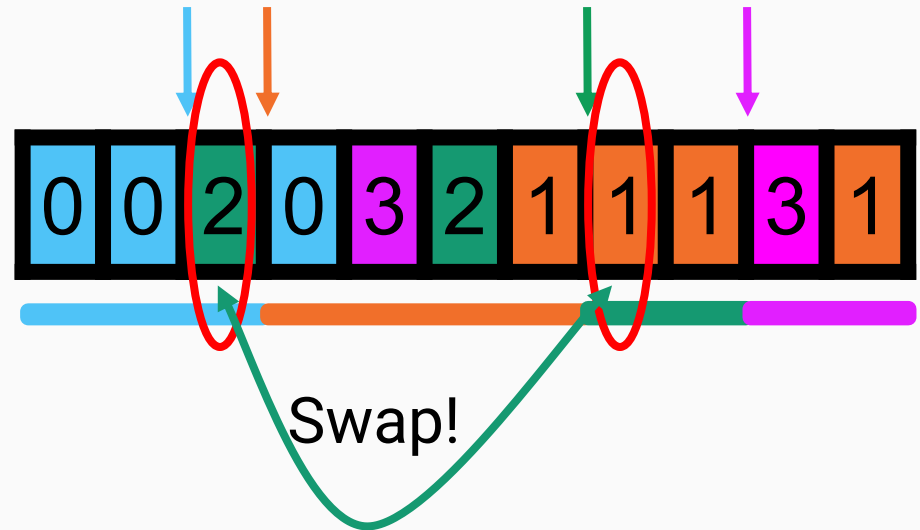
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# Serial In-place Radix Sort

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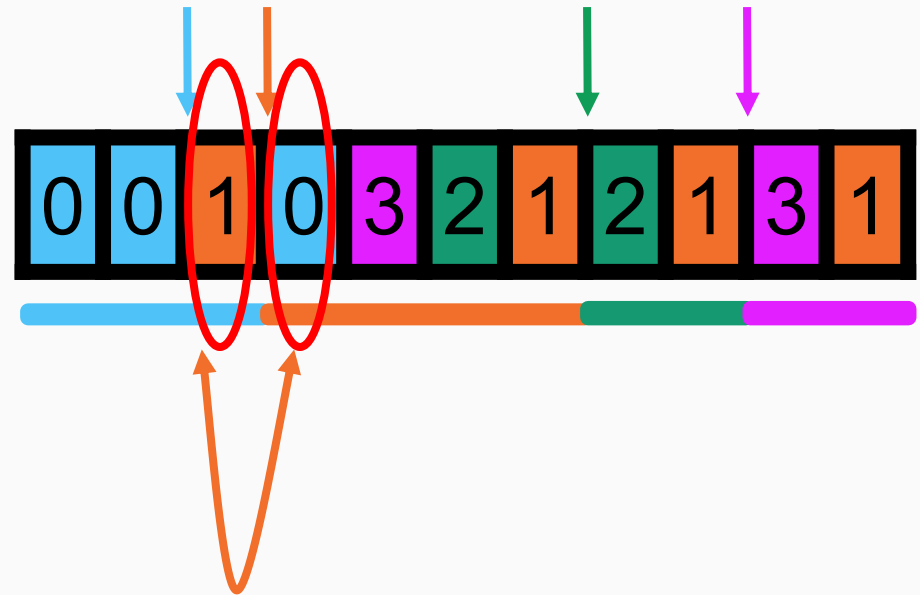
Swap item to location pointed to in target country

Increment target country pointer

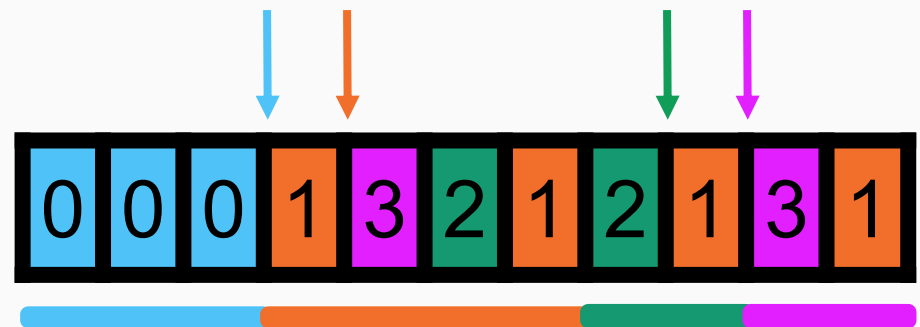
}

Increment current country pointer

}



Swap!





# Serial In-place Radix Sort

Initialize pointer to beginning of each country

For each country:

While (pointer not at end of country) {

While (item pointed to is not in correct country) {

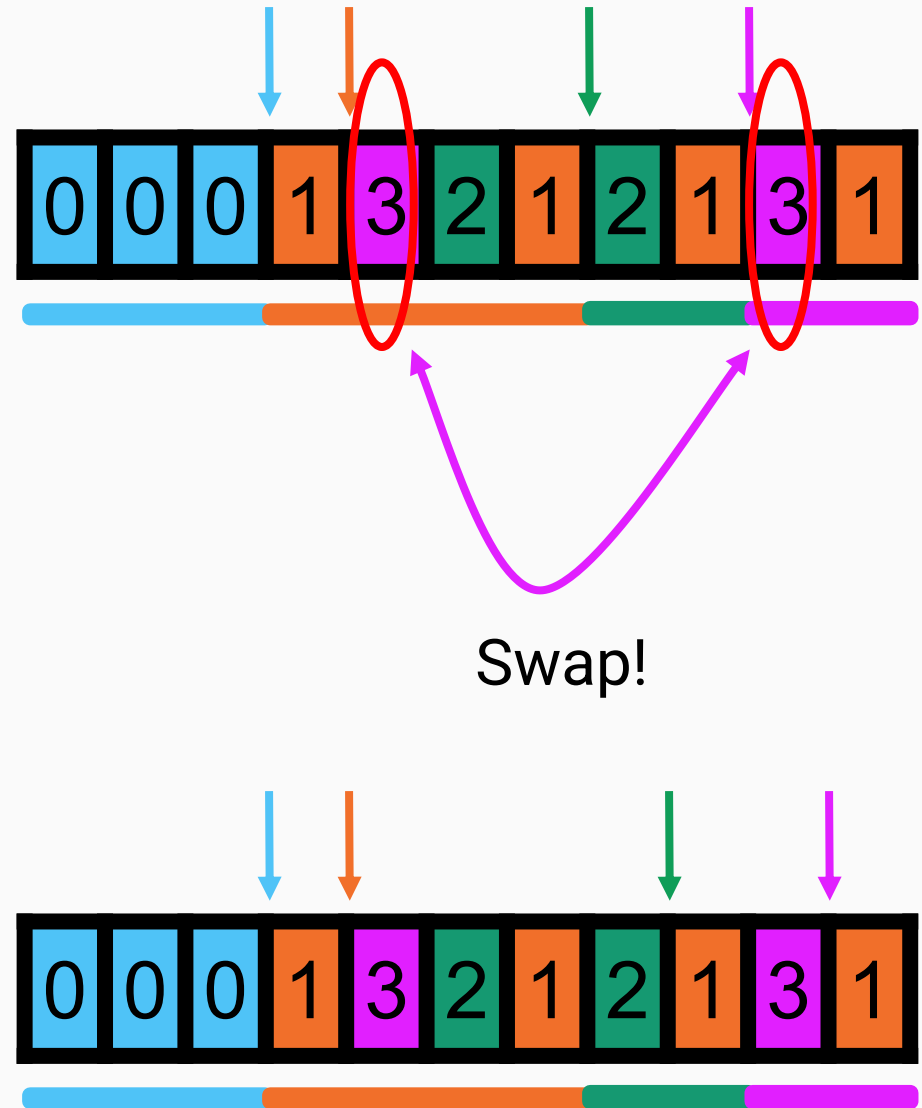
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# Serial In-place Radix Sort

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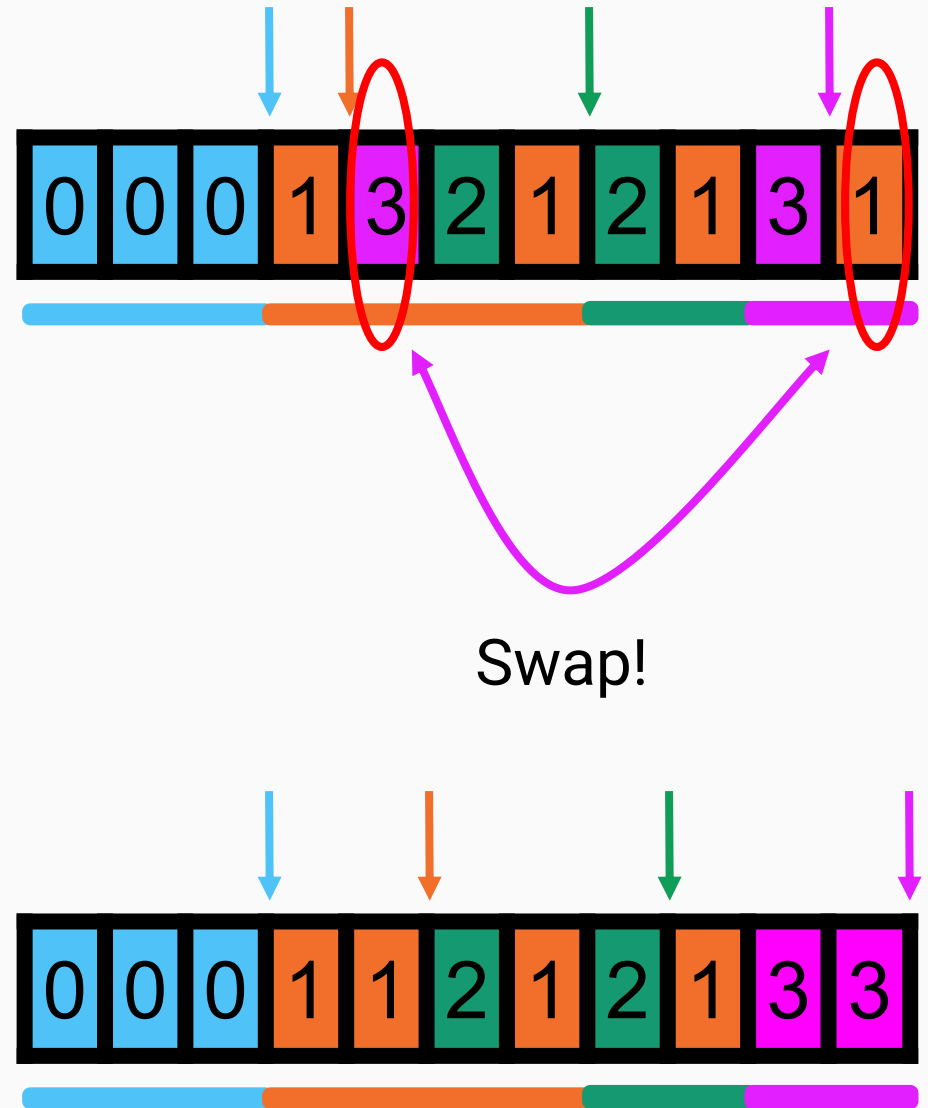
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# Serial In-place Radix Sort

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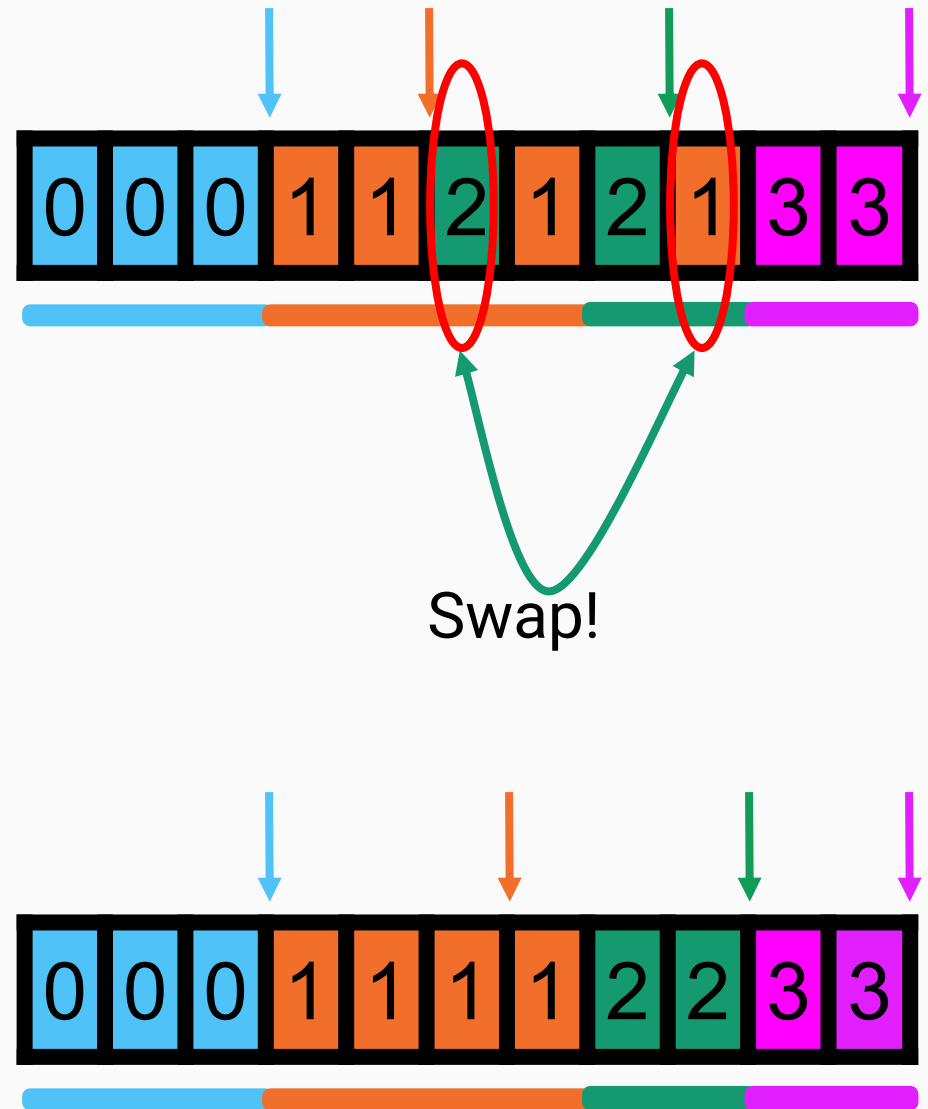
Swap item to location pointed to in target country

Increment target country pointer

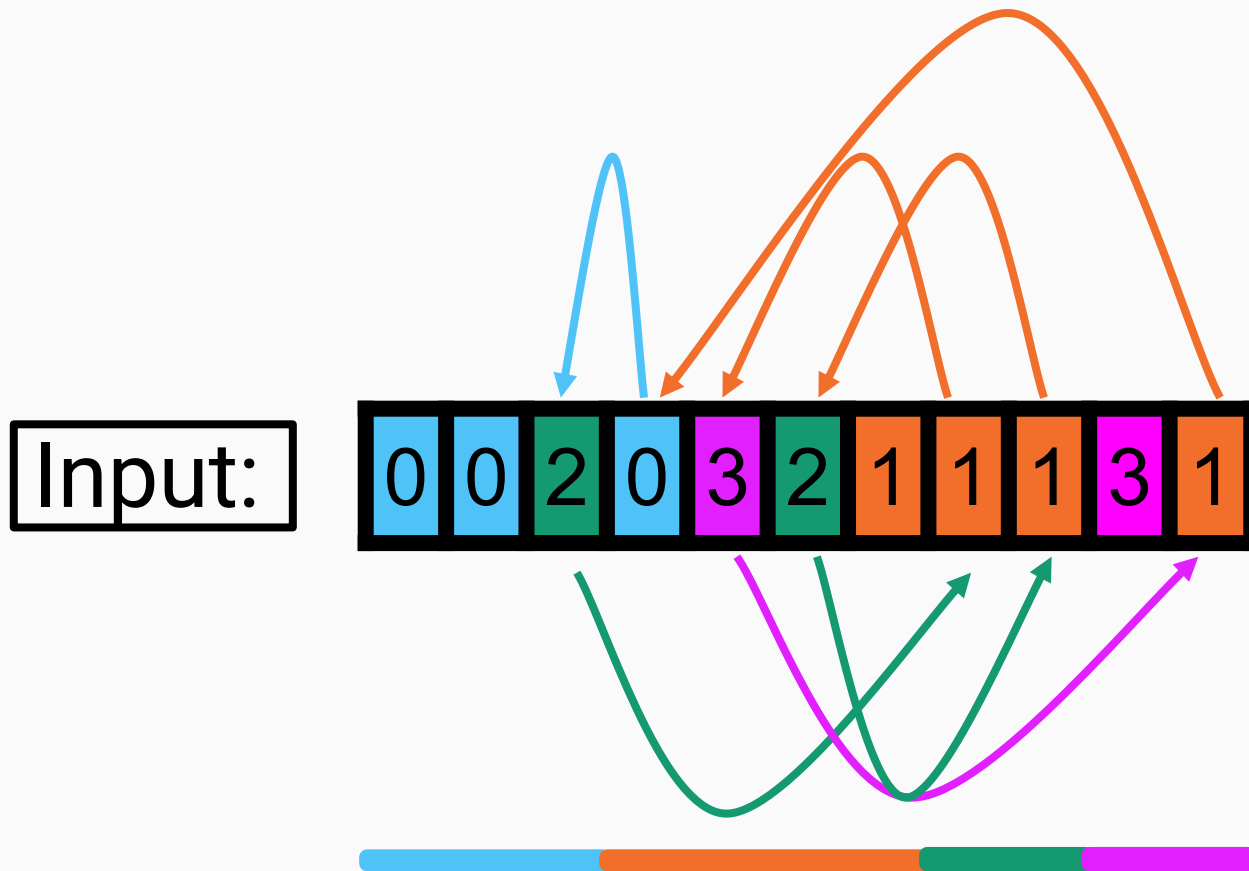
}

Increment current country pointer

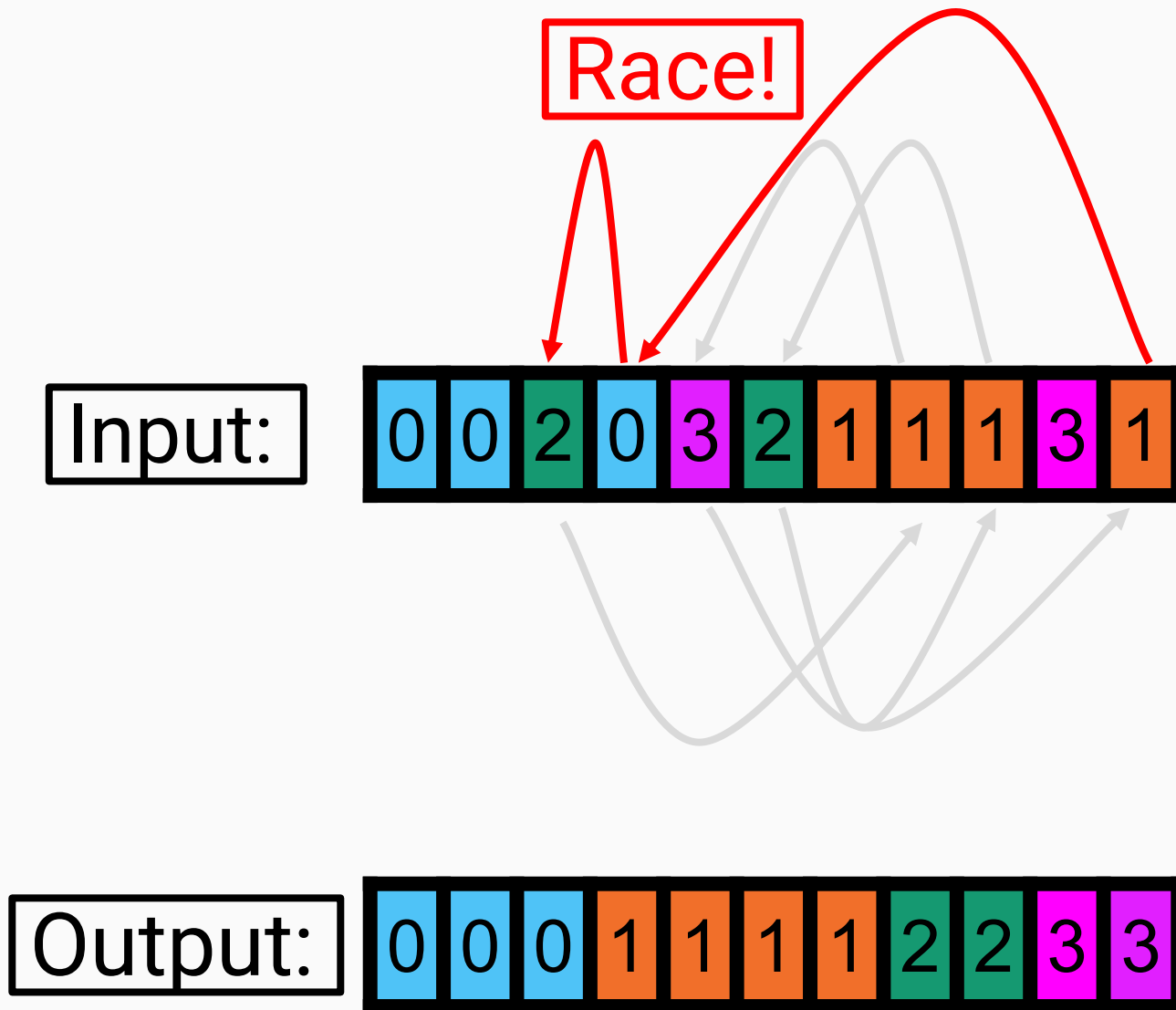
}



# Why parallel in-place is hard?!



# Why parallel in-place is hard?!



# Related Work

## PARADIS [Cho et. al 2015]

- Parallel in-place radix sort.
- Worst case **span** is  $O(n)$ .

## IPS4o [Axtmann et. al 2017]

- Parallel in-place comparison based sort.
- **Work** is  $O(n \log(n))$ .

# Goal

A parallel in-place algorithm for radix sort

For some parameter  $K$ :

a. Work:  $O(n)$

b. Span:  $O(\log(K) + n/K)$

c. Space:  $O(K)$

(assuming fixed length integers)

# Our Algorithm: Regions Sort



# Regions Sort Overview

## 1. Local Sorting

- Partially sort the input.

## 2. Regions Graph Building

- Represent dependences in partially sorted array with small amount of memory.

## 3. Global Sorting

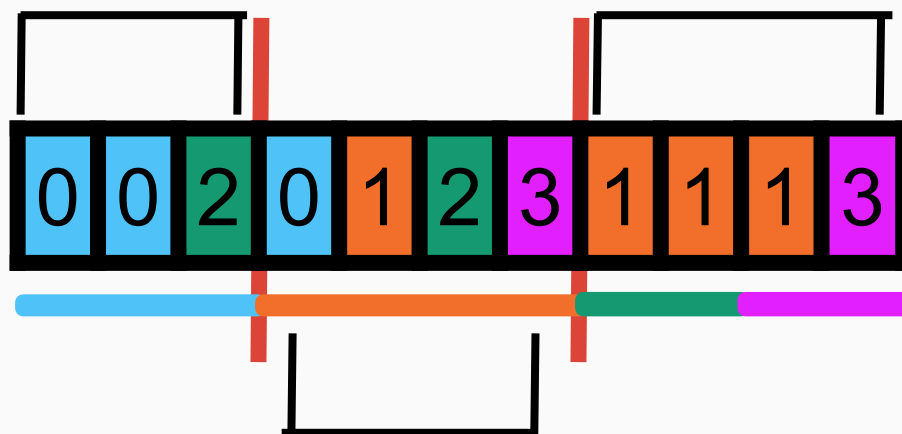
- Use regions graph to completely sort the input.

# Local Sorting

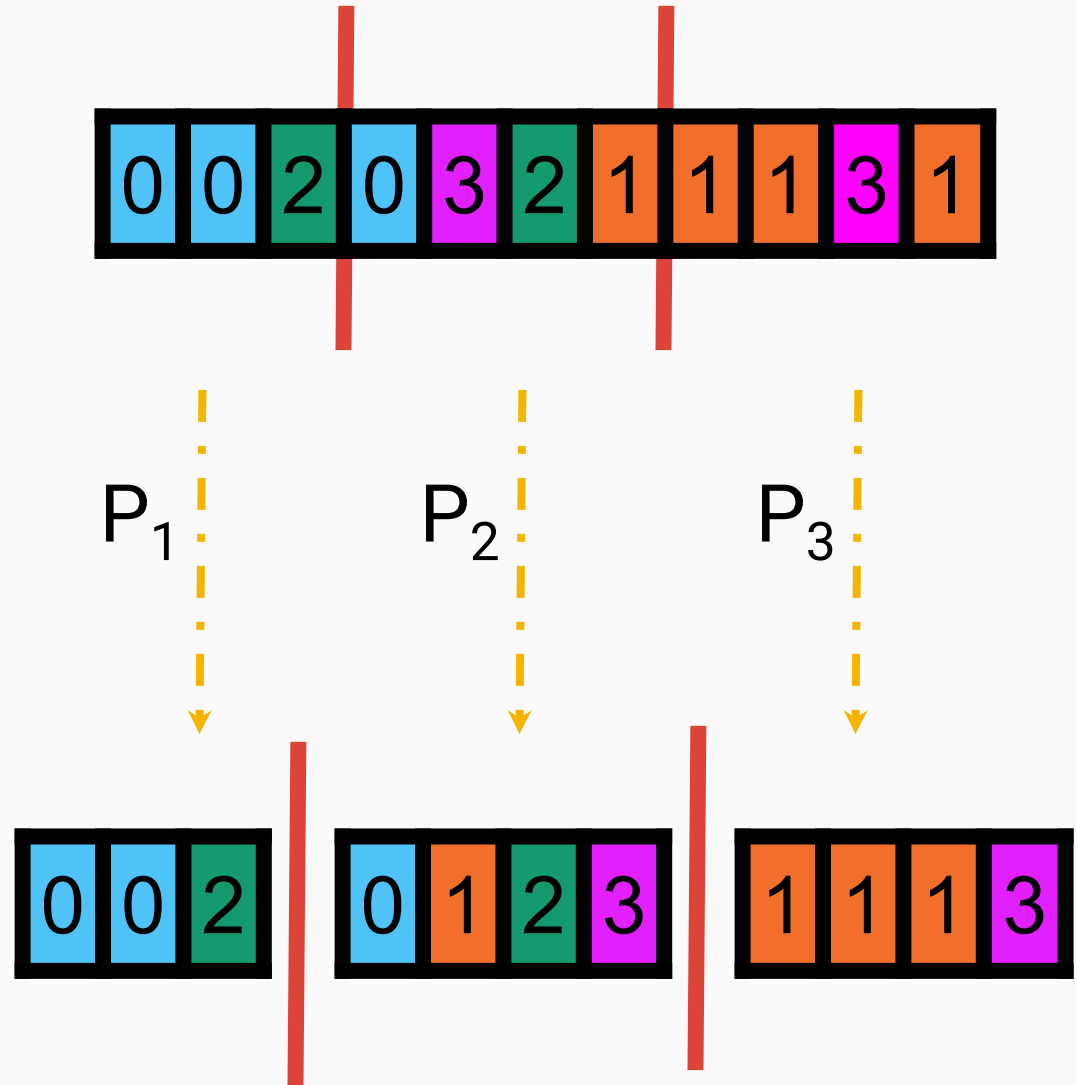
Key Idea:

Divide array into  $K$  *Blocks* and sort each block independently.

**Block:** sub-array of size  $n/K$ .



# Local Sorting



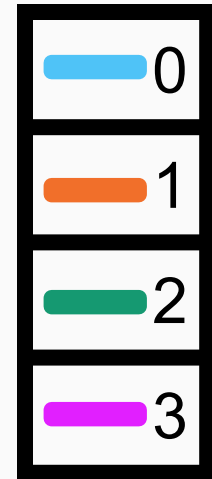
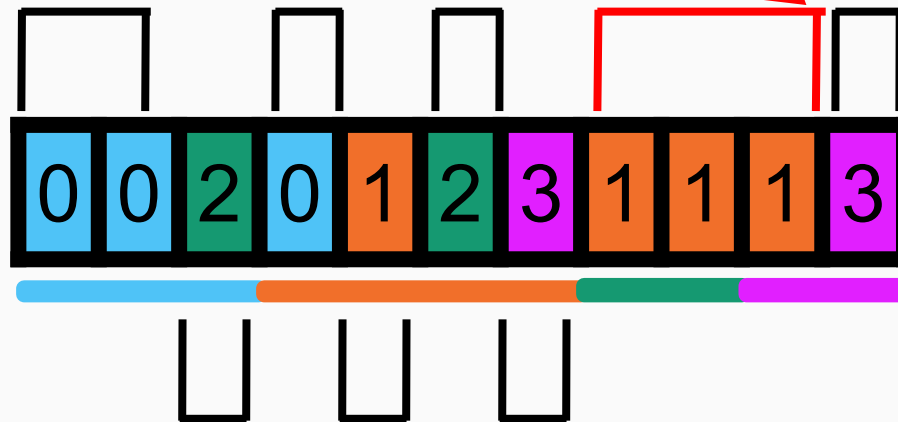
Sort using serial in-place radix sort

# Regions Graph Building

Key Idea: Represent dependences in partially sorted array with small amount of memory.

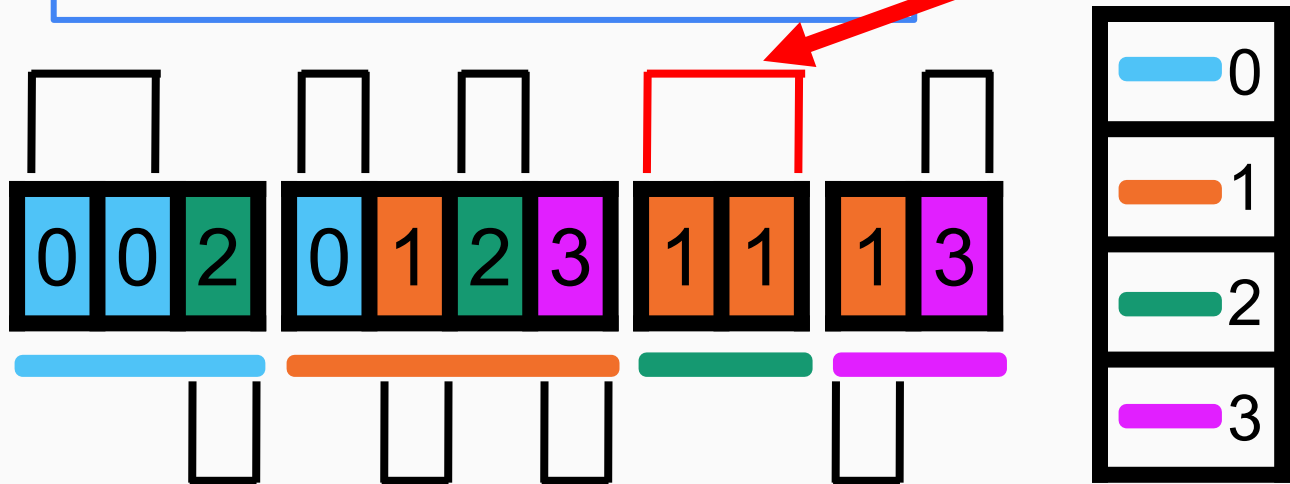
# Regions Graph Building

Homogeneous  
sub-array: A  
subarray with the  
same digit

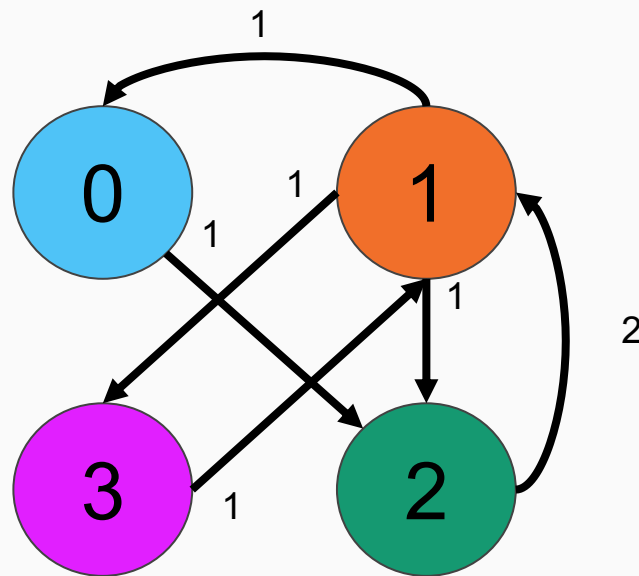
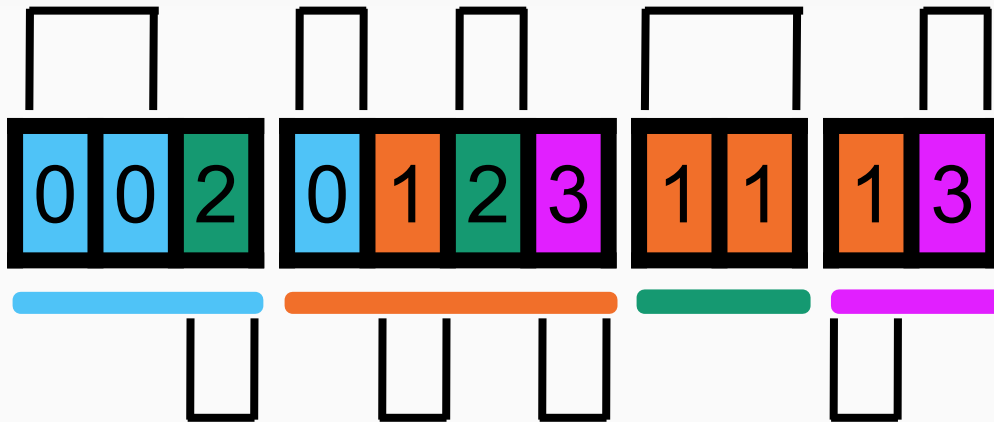


# Regions Graph Building

**Region: A**  
homogeneous sub-  
array **within same**  
**country.**

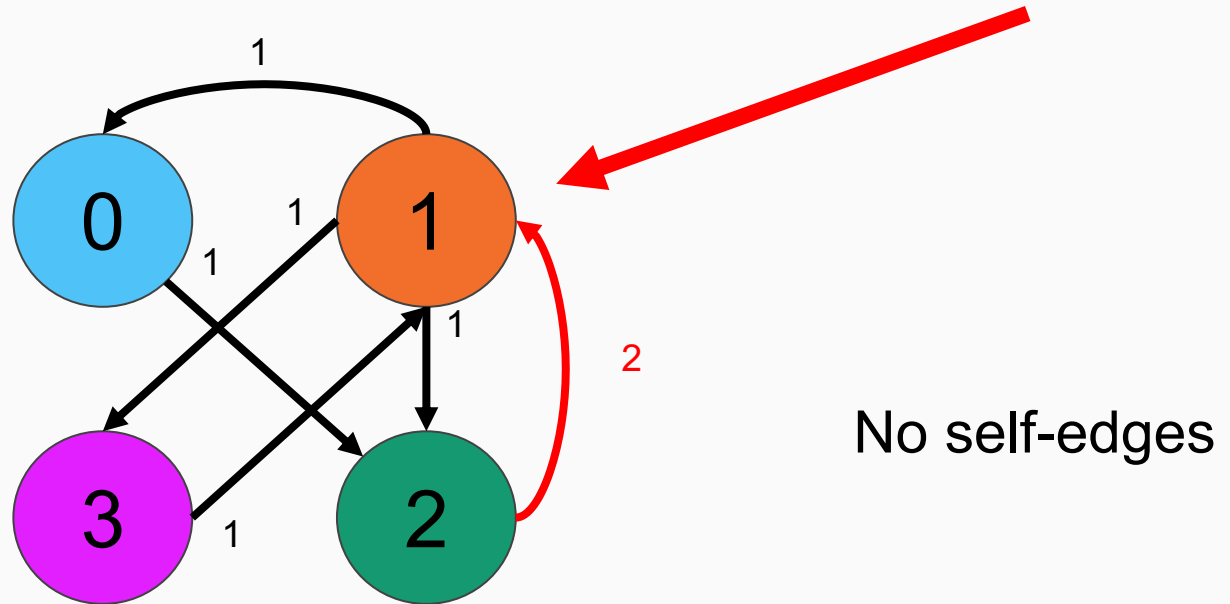
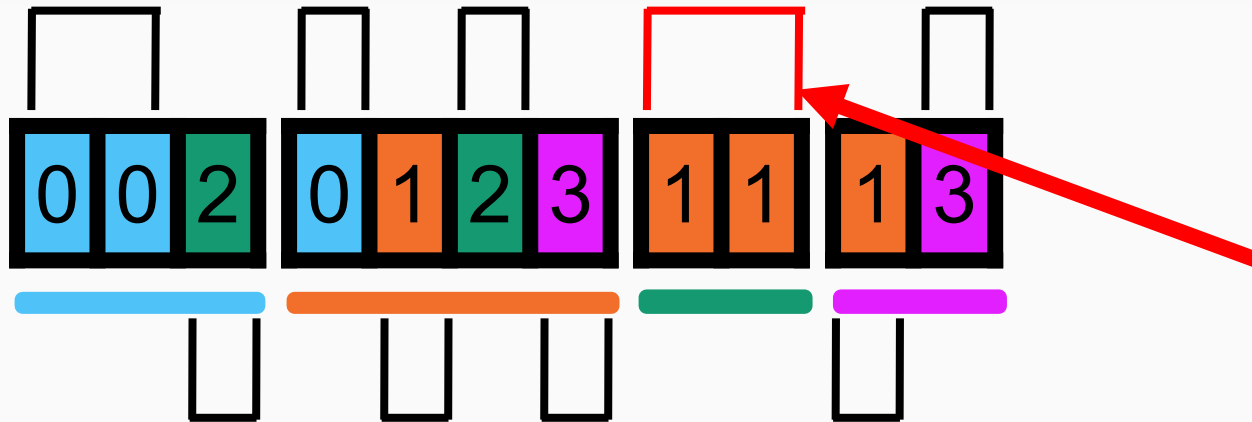


# Regions Graph Building



Create edge of weight  $W$  from country  $x$  to country  $y$  if a region of  $W$  elements wants to go from country  $x$  to country  $y$

# Regions Graph Building





# Global Sorting

Key Idea: Use regions graph to move regions to their target countries iteratively and updating the graph.

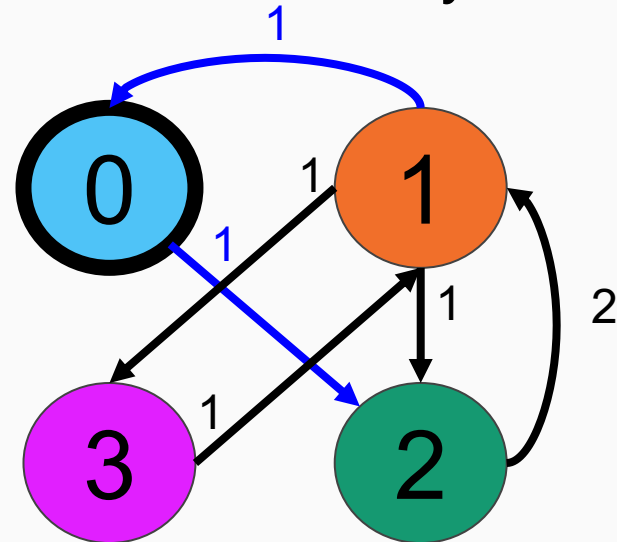
## Two Approaches:

1. Cycle Finding
2. 2-Path Finding

# Global Sorting

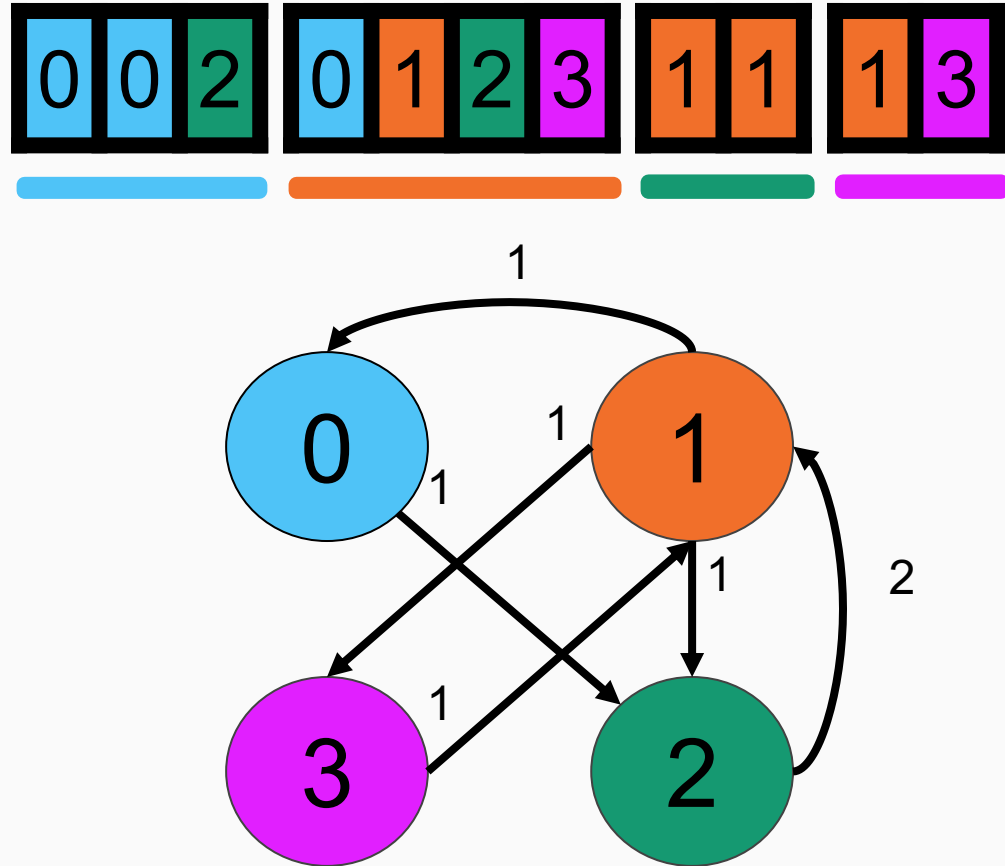
A **2-path** consists of two edges:

- Incoming edge to node  $x$  corresponding to a region that can be moved into country  $x$ .
- Outgoing edge from node  $x$  corresponding to a region that is in country  $x$  and needs to be moved out of country  $x$ .



# Global Sorting: 2-Path Finding

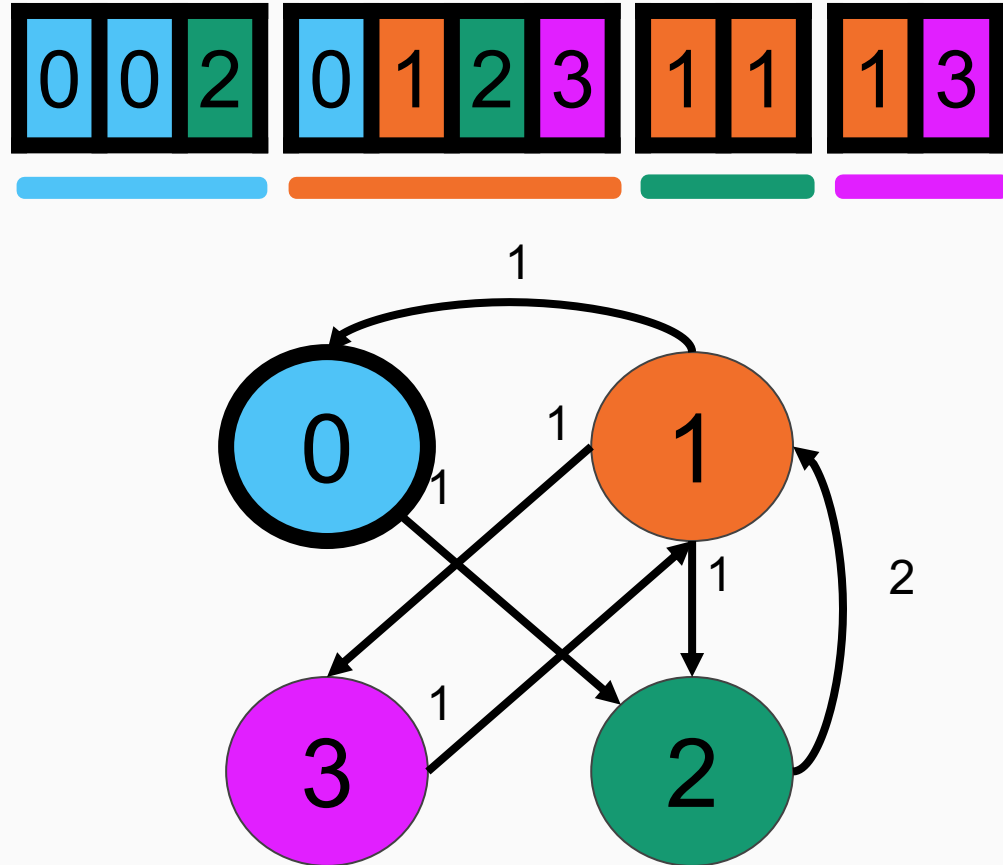
## 2-path Finding



# Global Sorting: 2-Path Finding

## 2-path Finding

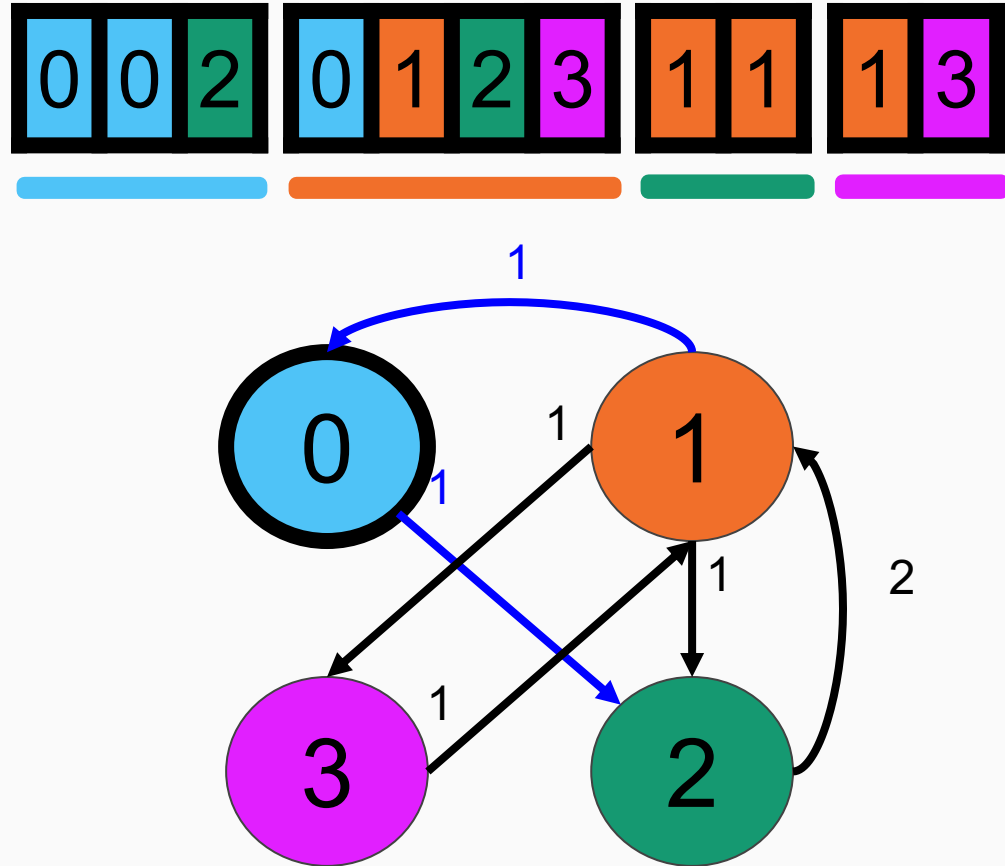
1. Choose a vertex.



# Global Sorting: 2-Path Finding

## 2-path Finding

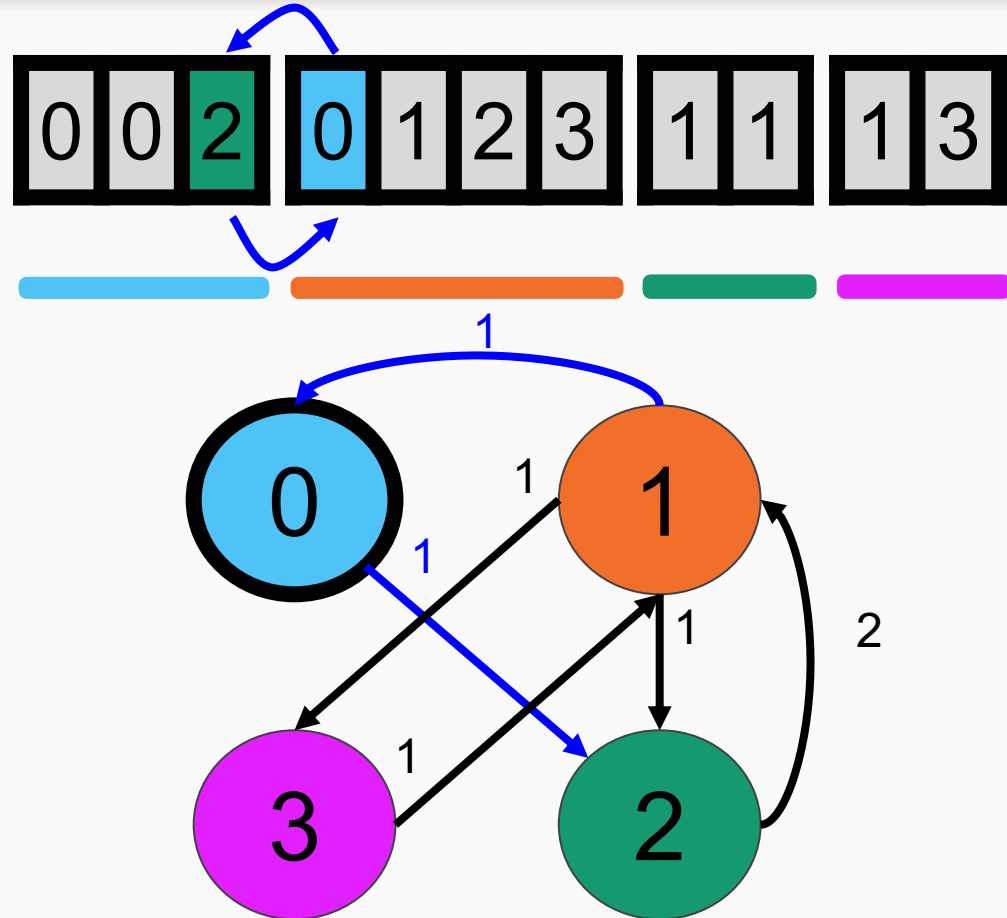
1. Choose a vertex.
2. Match incoming edges with outgoing edges.



# Global Sorting: 2-Path Finding

## 2-path Finding

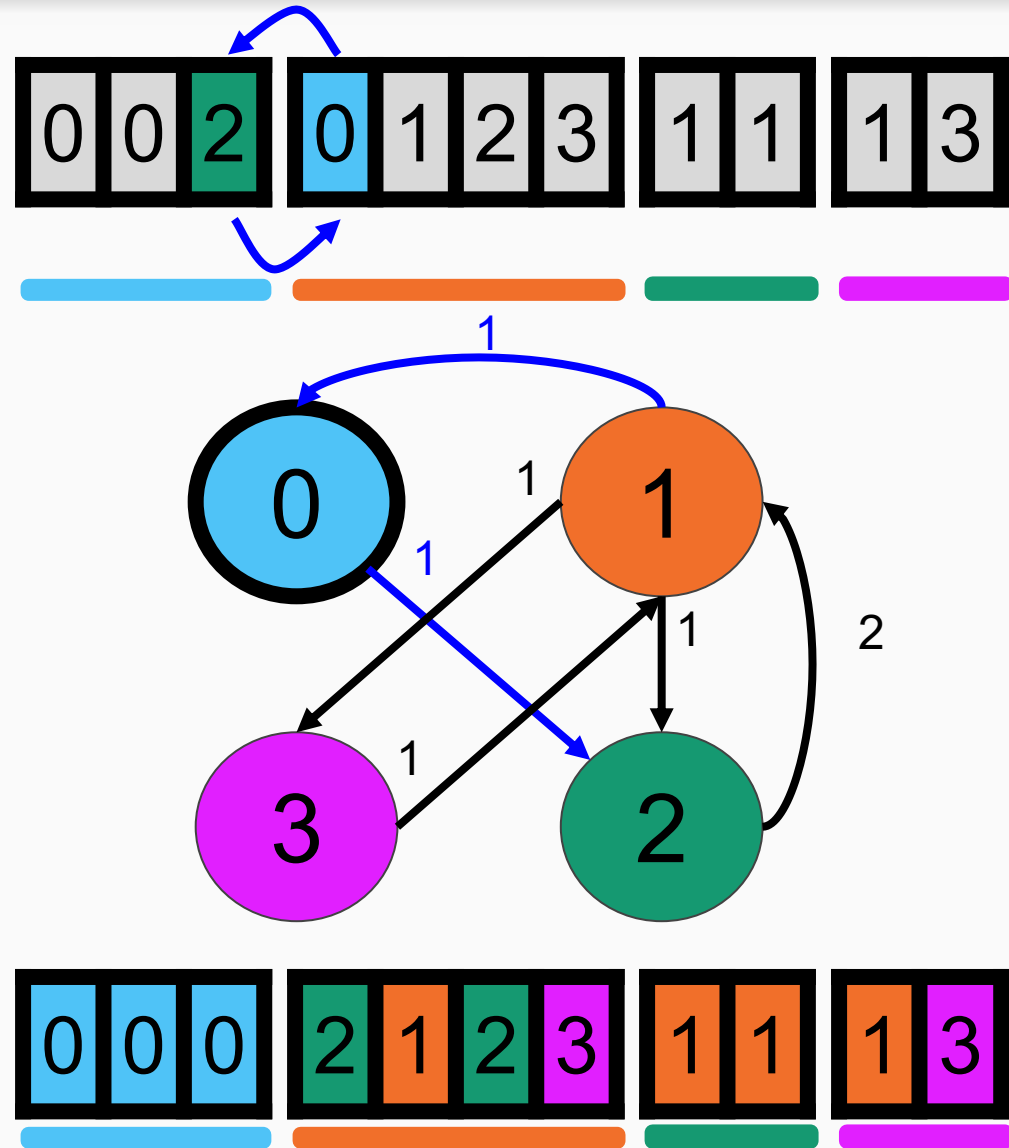
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# Global Sorting: 2-Path Finding

## 2-path Finding

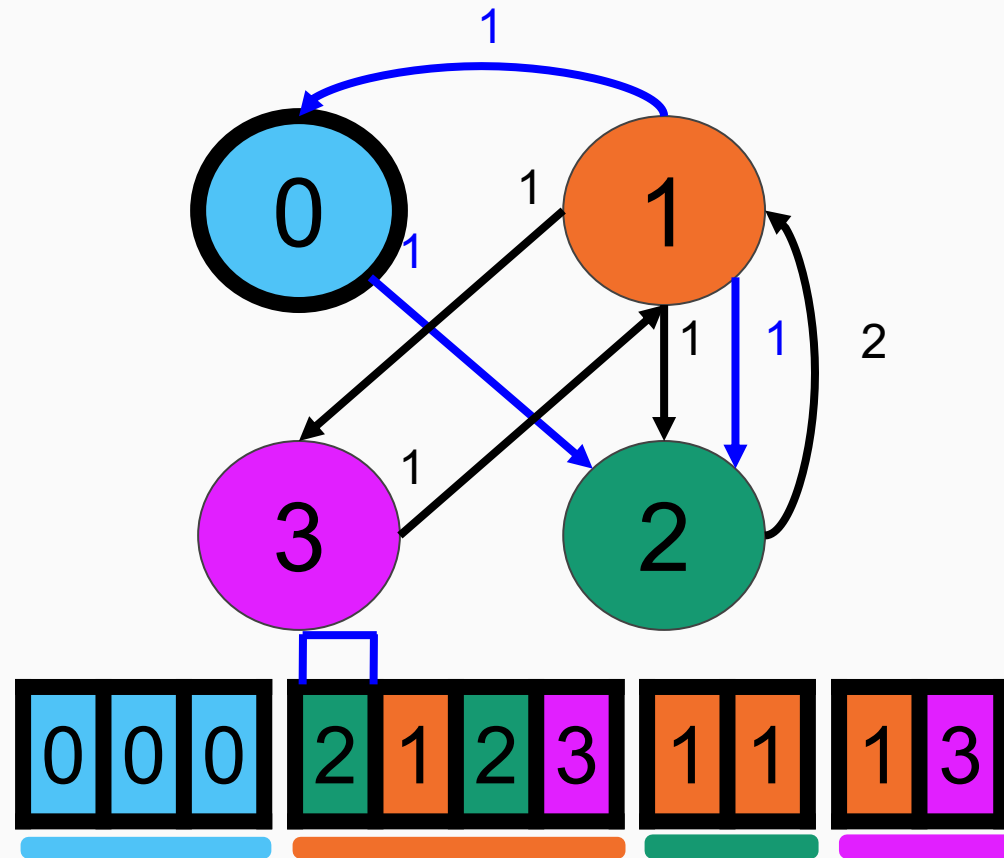
1. Choose a vertex.
2. Match incoming edges with outgoing edges.
3. Execute swaps.



# Global Sort: 2-Path Finding

## 2-path Finding

1. Choose a vertex.
2. Match incoming edges with outgoing edges.
3. Execute swaps.
4. Edit edges.

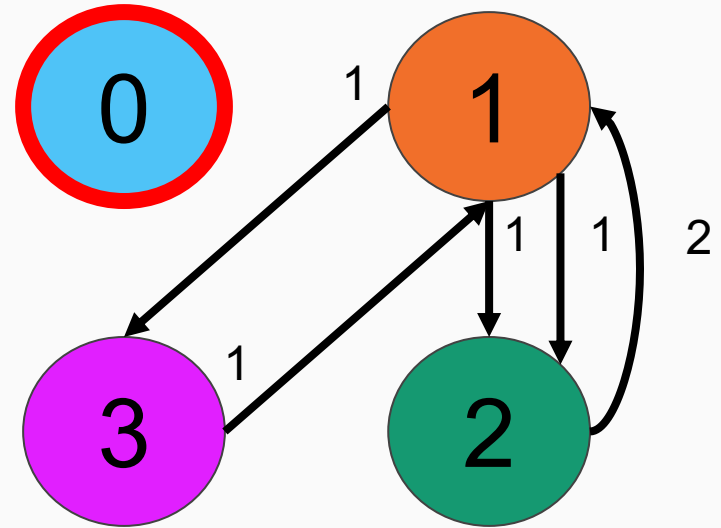




# Global Sorting: 2-Path Finding

## 2-path Finding

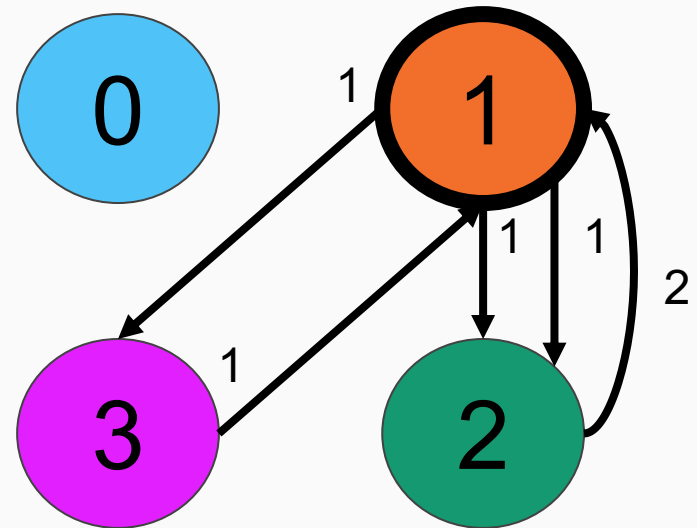
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# Global Sorting: 2-Path Finding

## 2-path Finding

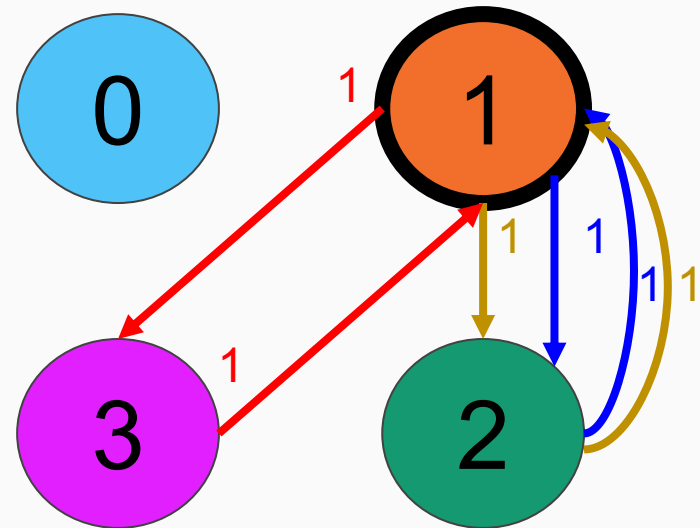
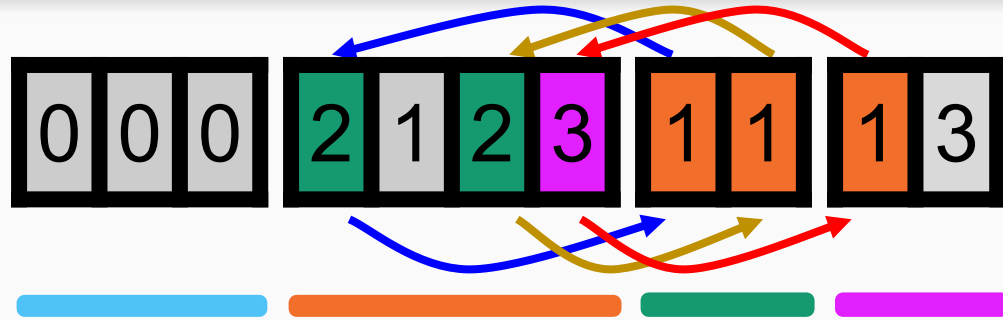
1. Choose a vertex.
2. Match incoming edges with outgoing edges.
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4. Edit edges.



# Global Sorting: 2-Path Finding

## 2-path Finding

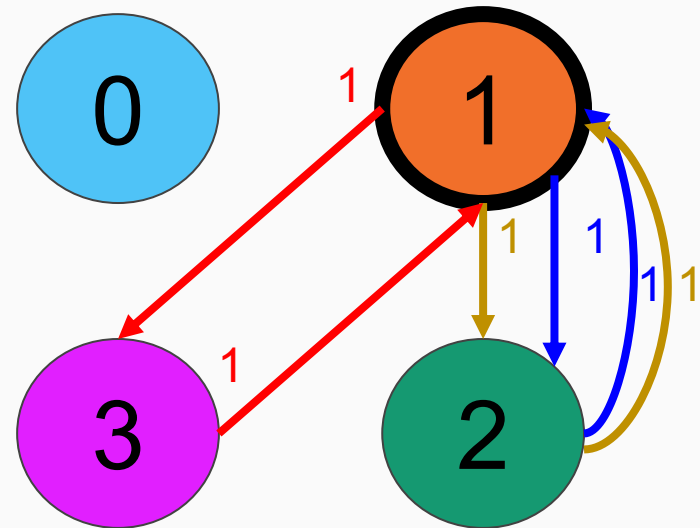
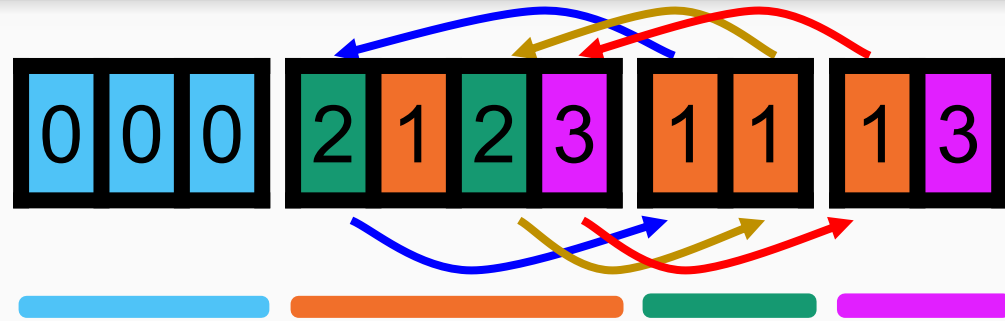
1. Choose a vertex.
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# Global Sorting: 2-Path Finding

## 2-path Finding

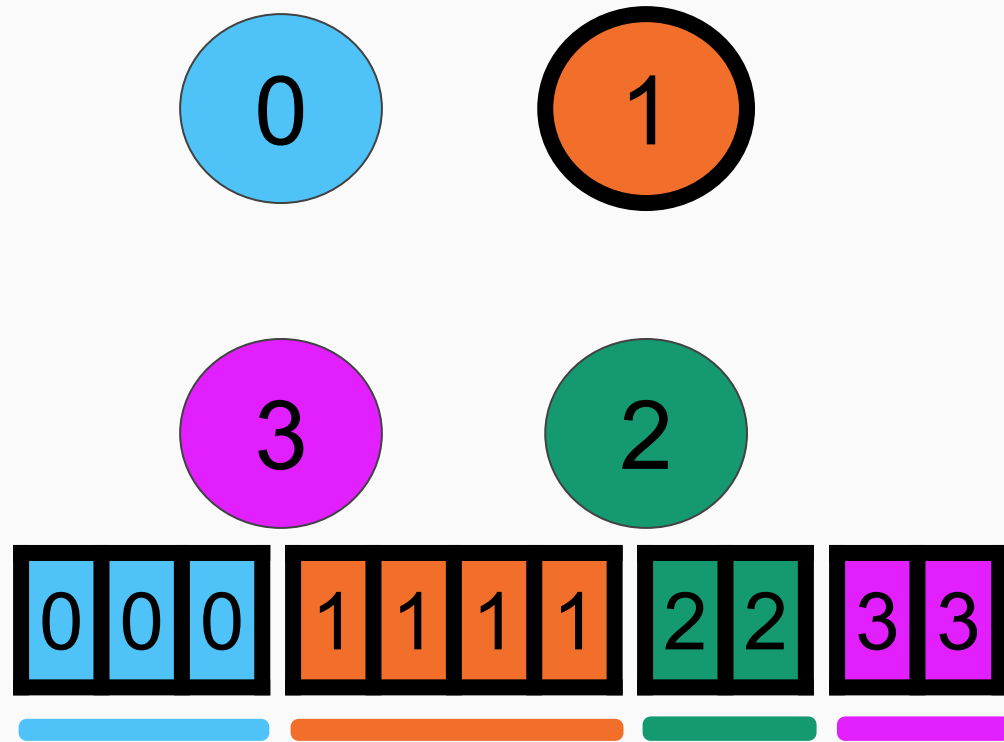
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4. Edit edges.



# Global Sorting: 2-Path Finding

## 2-path Finding

1. Choose a vertex.
2. Match incoming edges with outgoing edges.
3. Execute swaps.
4. Edit edges.



# Analysis

## 1. Local Sorting

a. Work:  $O(n)$

b. Span:  $O(\log(K) + n/K)$

c. Space =  $O(KB)$

- $K$  is number of blocks
- $B$  is number of buckets per block

## 2. Build Regions Graph

a. Work =  $O(KB)$

b. Span =  $O(\log(KB))$

c. Space =  $O(KB)$

- Since  $\#edges \leq \#regions \leq KB$
- $K$  is number of blocks
- $B$  is number of buckets per block



## 3. Global Sorting

a. Work =  $O(n)$

b. Span =  $O(B (\log(KB) + B))$

c. Space =  $O(KB)$

- $O(n)$  swaps
- #nodes removed =  $O(B)$
- #edges at each node removed is  $O(KB)$

Total for one level of recursion

$$\text{Work} = O(n)$$

$$\text{Span} = O(n/K + B (\log(KB) + B))$$

$$\text{Space} = O(KB)$$

# Recursion

# Recursion

- Each country is recursed on independently.
- Each country divided into number of blocks proportional to its size.
- Integers with range  $r$  need at most  $\log_B(r)$  recursion levels to be fully sorted.
- For problem sizes smaller than  $B$ , we use comparison sort.

## Total on all levels

a.  $Work = O(n \log(r))$

b.  $Span = O((\log(K) + n/K) \log(r))$

c.  $Space = O(P \log(r) + K)$

- Assuming  $B = \theta(1)$

## Total on all levels

a. Work =  $O(n)$

b. Span =  $O((\log(K) + n/K))$

c. Space =  $O(P + K)$

- Assuming  $B = \theta(1)$
- Assuming  $r = \theta(1)$  (fixed length integers)

# Alternative Approach: Cycle Finding

- Find Cycle in Regions Graph
- Execute Cycle to move elements
- Remove edge with min weight, and decrease weight of all other edges by this weight
- Repeat until all edges are deleted

# Evaluation



# Evaluation: Control Algorithms

## State of the art parallel sorting algorithms:

- `__gnu_parallel::sort` (MCSTL, included in gcc) [Singler et. al 2007]
  - Not fully in-place; uses parallel mergesort
- RADULS (parallel out-of-place radix sort) [Kokot et al. 2017]
- PBBS parallel out-of-place radix sort [Shun et. al 2012]
- PBBS parallel out-of-place sample sort [Shun et. al 2012]
- Ska Sort (serial in-place radix sort)
- IPS4o (parallel in-place sample sort) [Axtmann et al. 2017]
- PARADIS (parallel in-place radix sort) not publicly available

## Input distribution:

- Uniform
- Skewed
- Equal, and almost sorted

## Our Algorithms

Cycle finding

$$K = P$$

$$B = 256$$

2-path finding

$$K = 5000$$

$$B = 256$$

# Evaluation: Test Environment

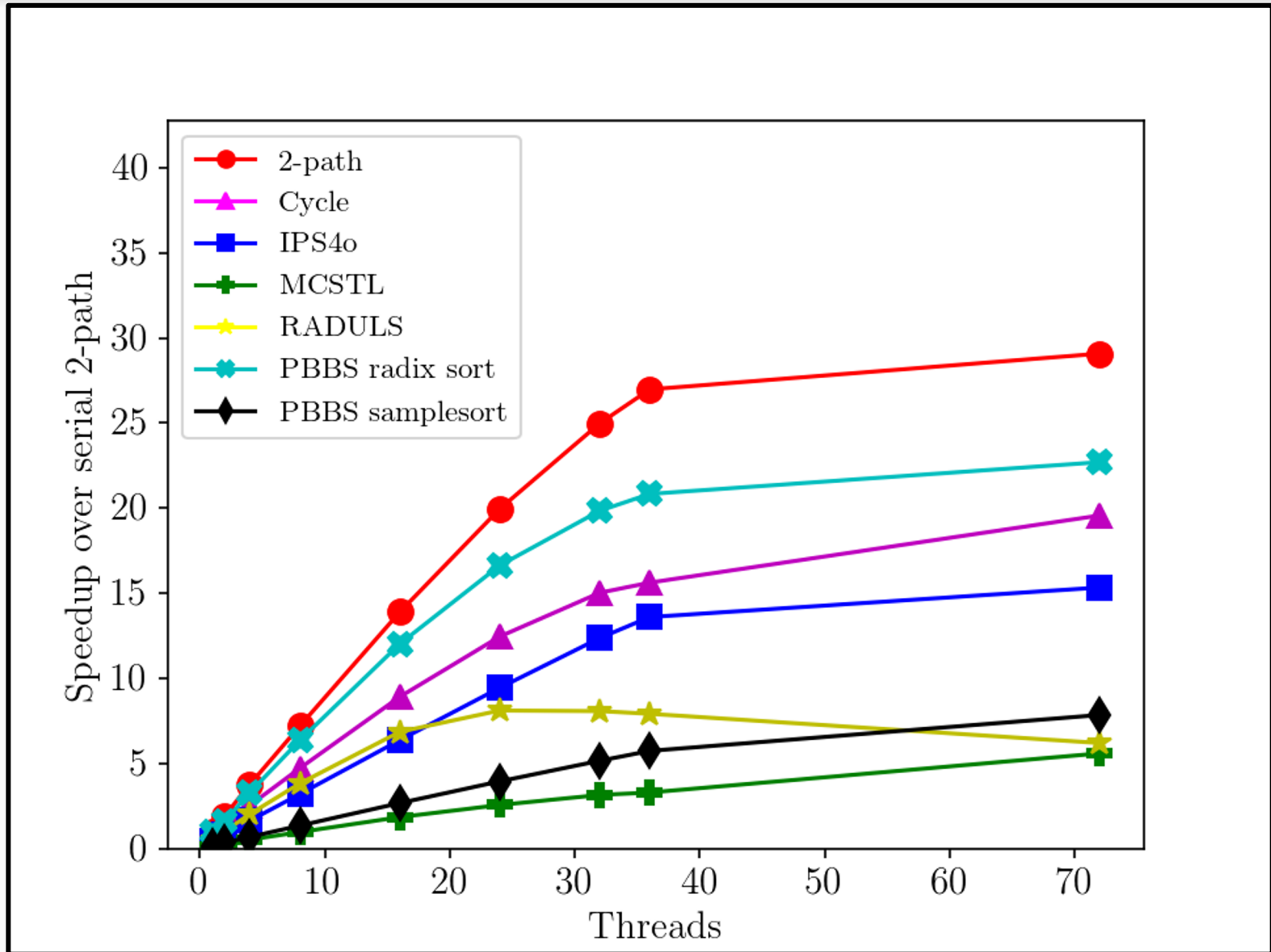
- AWS c5.9xlarge
- Intel Xeon Platinum 8000 series
- 72 vCPU (36 cores with hyperthreading)
- 144 GB RAM
- All code compiled with g++-7 with Cilk Plus

# Comparison with other algorithms

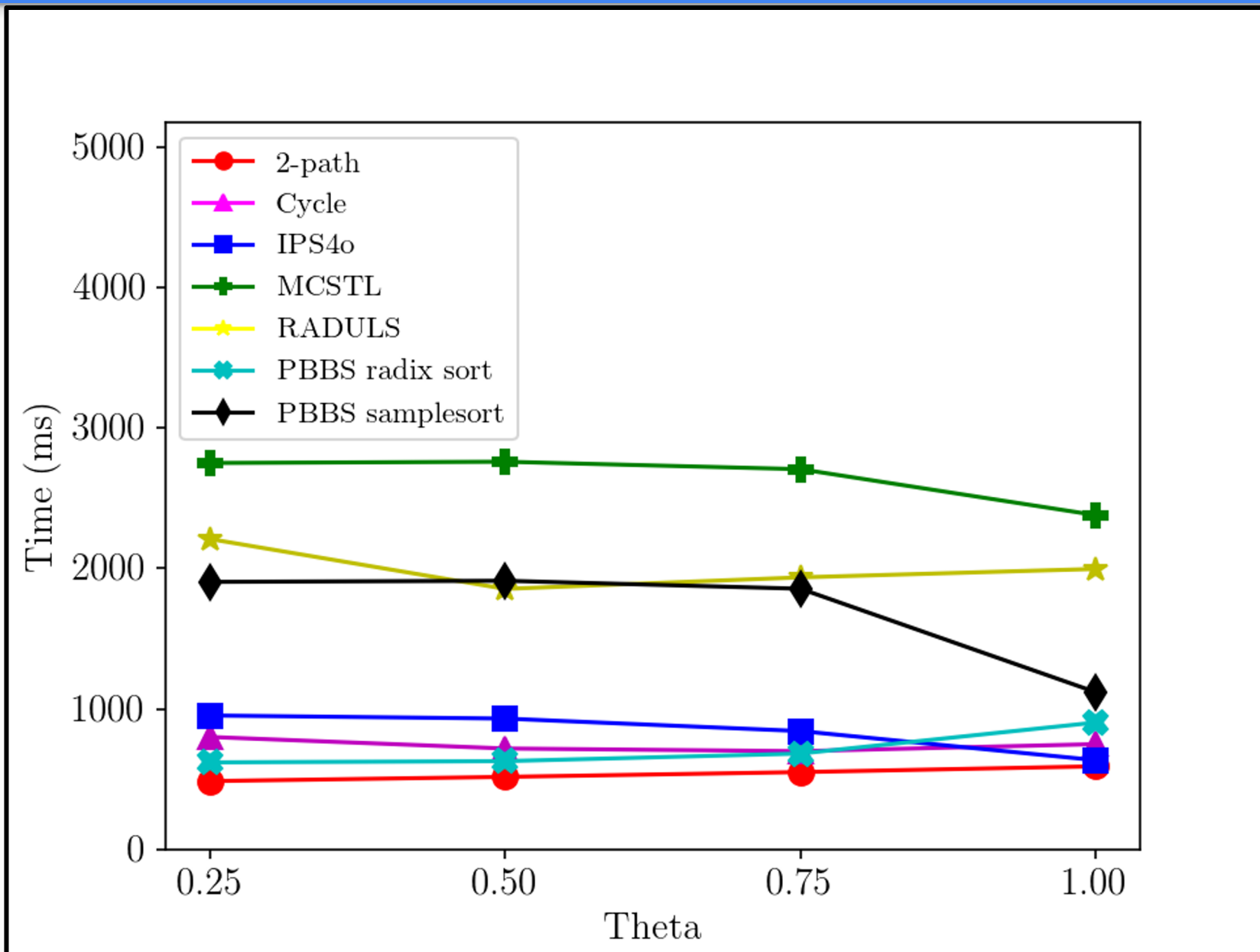
Regions Sort performance on various inputs with 1 billion integers:

- Between 1.1-3.6x faster than IPS4o, the fastest parallel sample sort, except on one input (1.02x slower).
- Between 1.2-4.4x faster than the fastest out-of-place Radix Sort (PBBS).
- 1.3x slower to 9.4x faster than RADULS.
- About 2x faster than PARADIS based on their reported numbers on same number of cores

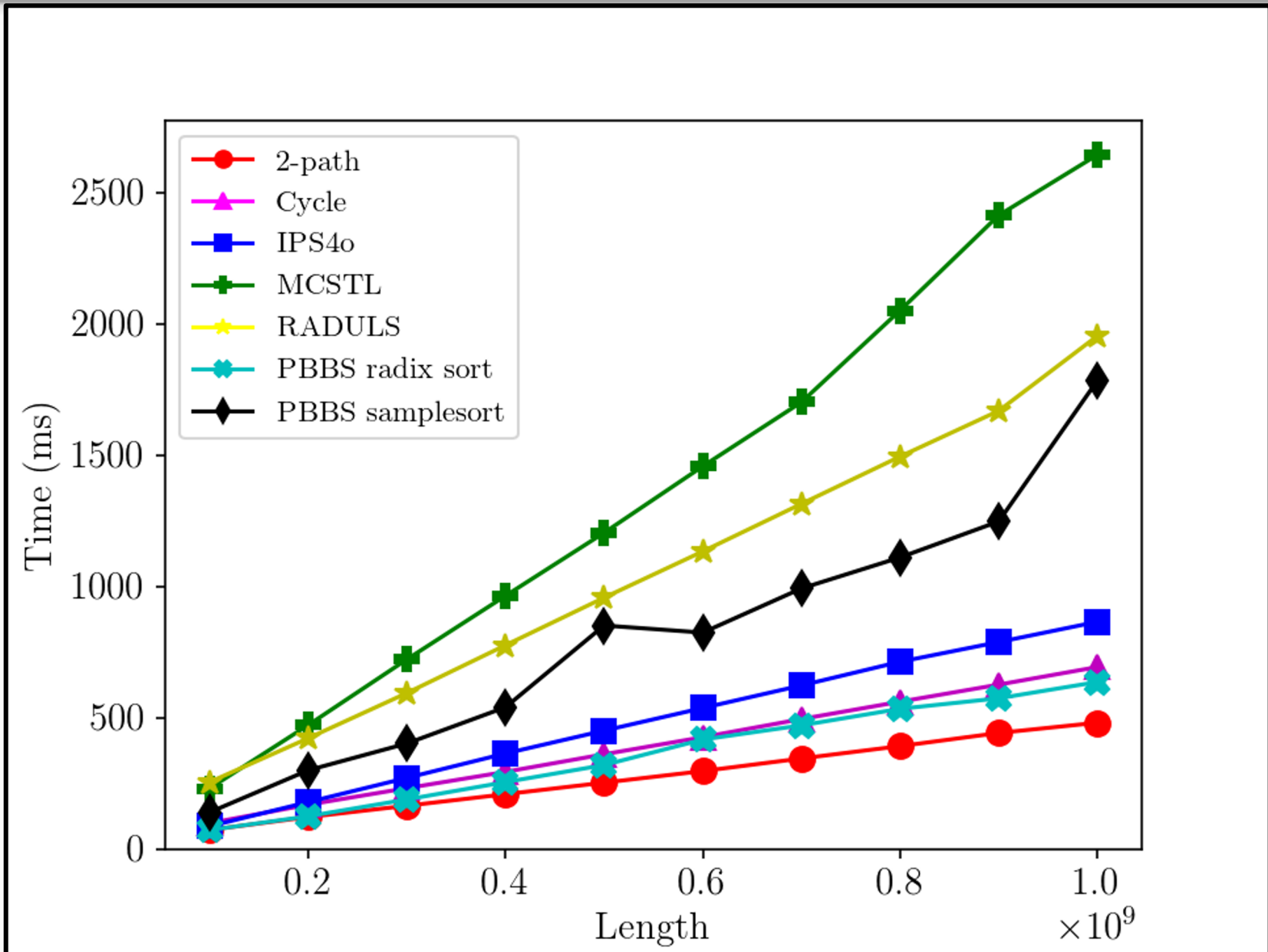
# Speedup over serial 2-path: 1 billion random integers



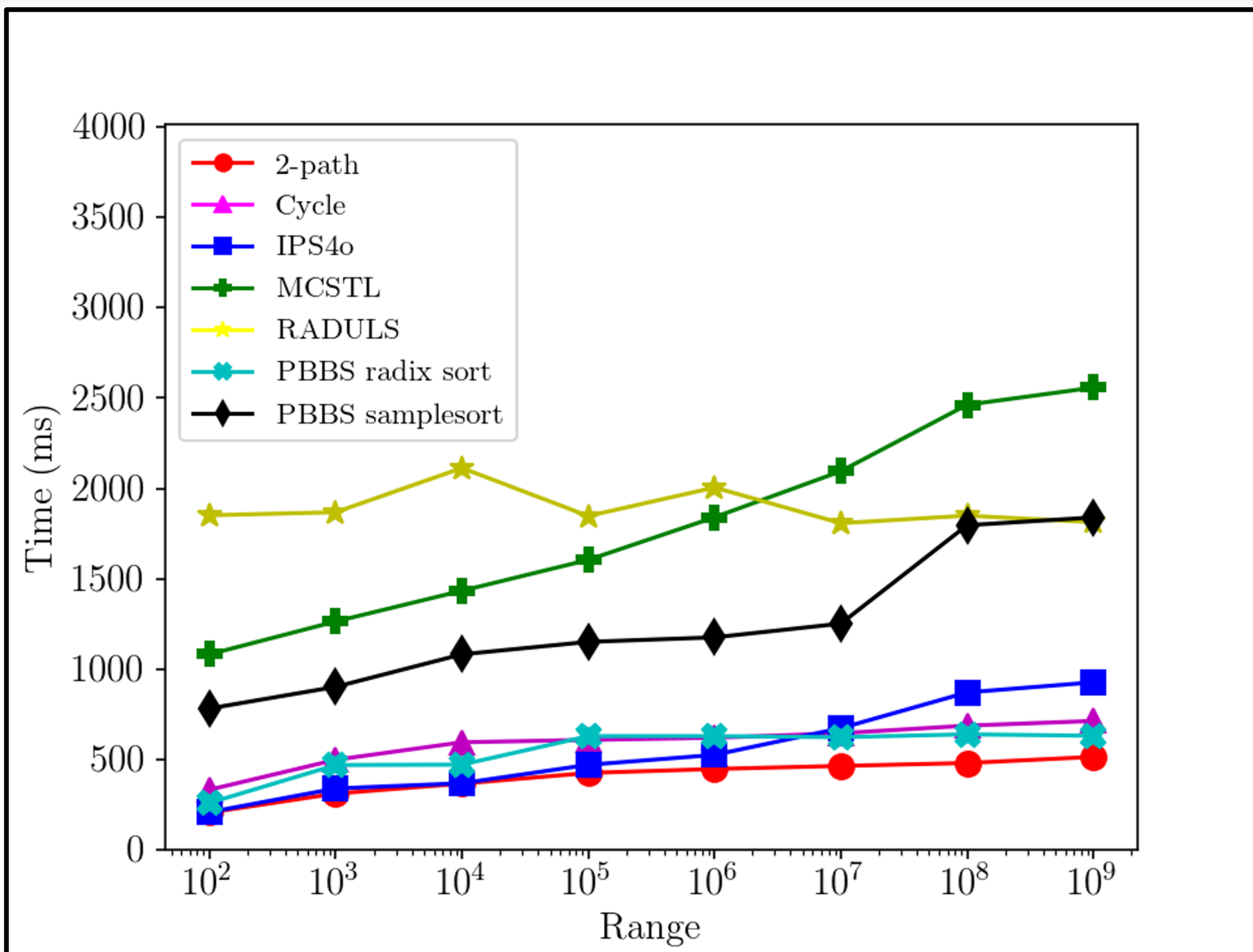
# Distribution independence: 1 billion integers from Zipf



# Regions Sort: fastest across all input sizes (Random)



# Input Range - Uniform Sequence (1 billion integers)





# Conclusion

## Our contributions:

- Regions Sort: the first parallel in-place radix sort with strong theoretical guarantees.
- Empirical evidence showing high scalability and distribution independence.
- Almost always faster than state-of-the-art parallel sorting algorithms in our experiments.