

A Simple Parallel Cartesian Tree Algorithm and its Application to Parallel Suffix Tree Construction

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Motivation for Suffix Trees

- To efficiently search for patterns in large texts
 - Example: Bioinformatic applications
- Suffix trees allow us to do this
 - $O(N)$ work for construction with $O(M)$ work for search, where N is the text size and M is the pattern size
 - In contrast, Knuth-Morris-Pratt's algorithm takes $O(M)$ work for construction and $O(N)$ work for search
 - Other supported operations: longest common substring, maximal repeats, longest palindrome, etc.
 - There are sequential implementations but no parallel ones that are both theoretically and practically efficient
- We developed a new (practical) linear-work parallel algorithm and analyzed it experimentally

Outline: Suffix Array to Suffix Tree (in parallel)

Suffix array + Longest Common Prefixes

(interleave SA and LCPs)

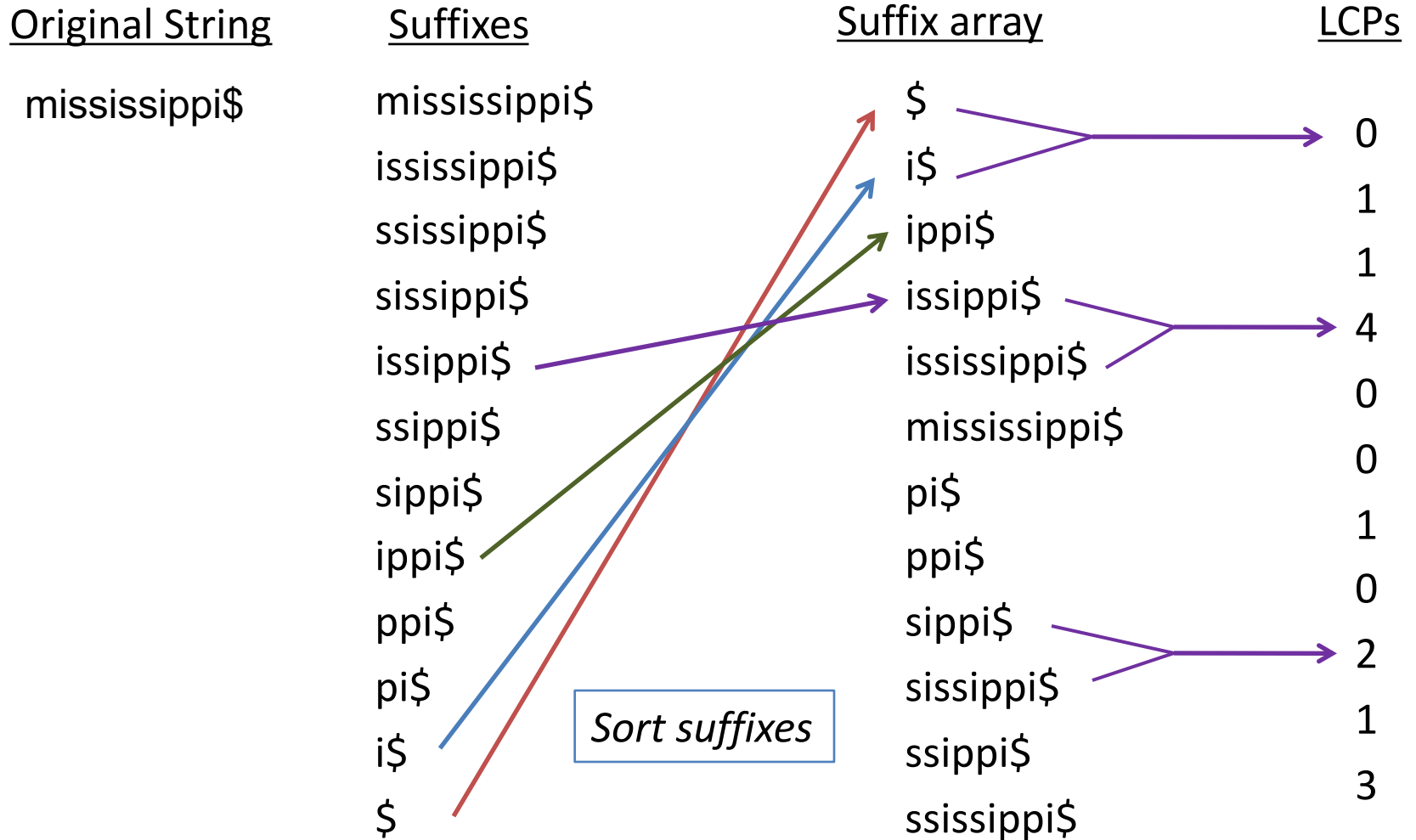
Multiway Cartesian tree

(label edges,
insert into hash table)

Suffix tree

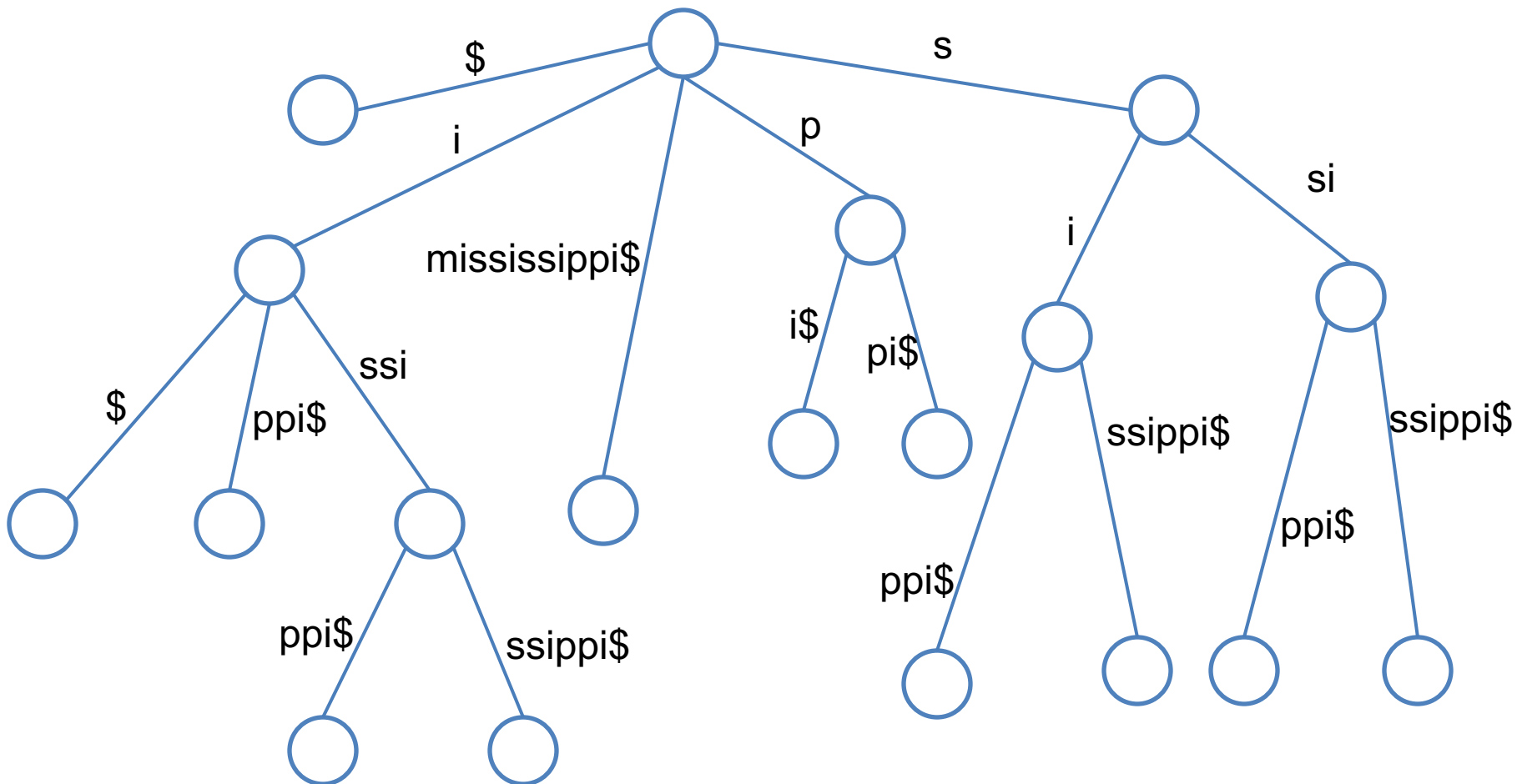
- There are standard techniques to perform all of these steps in parallel, except for building the multiway Cartesian Tree

Suffix Arrays and Longest-common-prefixes (LCPs)



Suffix Trees

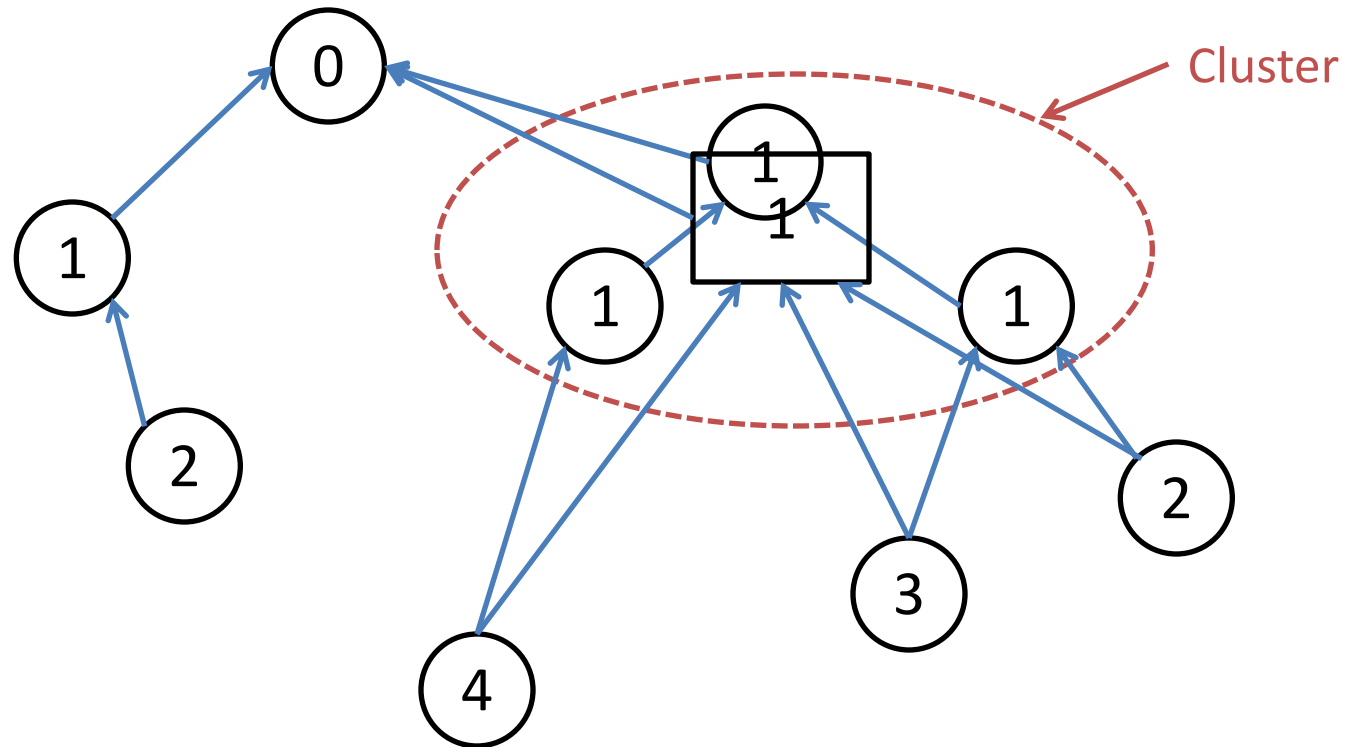
- String = mississippi\$
- Store suffixes in a patricia tree (trie with one-child nodes collapsed)



Multiway Cartesian Tree

- Maintains heap property
- Components of same value
- Inorder traversal gives back the sequence treated as one “cluster”

Sequence = 1 2 0 4 1 1 3 1 2



Suffix Tree History

- Sequential $O(n)$ work algorithms based on incrementally adding suffixes [Weiner '73, McCreight '76, Ukkonen '95]
- Parallel $O(n)$ work algorithms very complicated, no implementations [Sahinalp-Vishkin '94, Hariharan '94, Farach-Muthukrishnan '96]
- Parallel algorithms used in practice are not linear-work
- *Practical linear-work parallel algorithm?*
 - Simple $O(n)$ work parallel algorithm
 - Fastest algorithm in practice

More Related Work

- Cartesian trees
 - Sequential $O(n)$ work stack-based algorithm
 - Work-optimal parallel algorithm for Cartesian tree on distinct values (Berkman, Schieber and Vishkin 1993)
- Suffix arrays to suffix trees
 - Sequential $O(n)$ work algorithms
 - Two parallel algorithms for converting a suffix array into a suffix tree (Iliopoulos and Rytter 2004)
 - Both require $O(n \log n)$ work
- Our contributions
 - A parallel algorithm for the same task which requires only $O(n)$ work and is based on multiway Cartesian trees
 - This is used to obtain a $O(n)$ work parallel suffix tree algorithm

Suffix Array/LCPs → Suffix Tree

- Interleave suffix lengths and LCP values
- Build a multiway Cartesian tree on that
- This returns the suffix tree!

Suffix lengths	1,	2,	5,	8,	11,	12,	3,	4,	6,	9,	7,	10
LCP values	0,	1,	1,	4,	0,	0,	1,	0,	2,	1,	3,	

Interleaved

String = mississippi\$



= Contracted internal node with LCP value

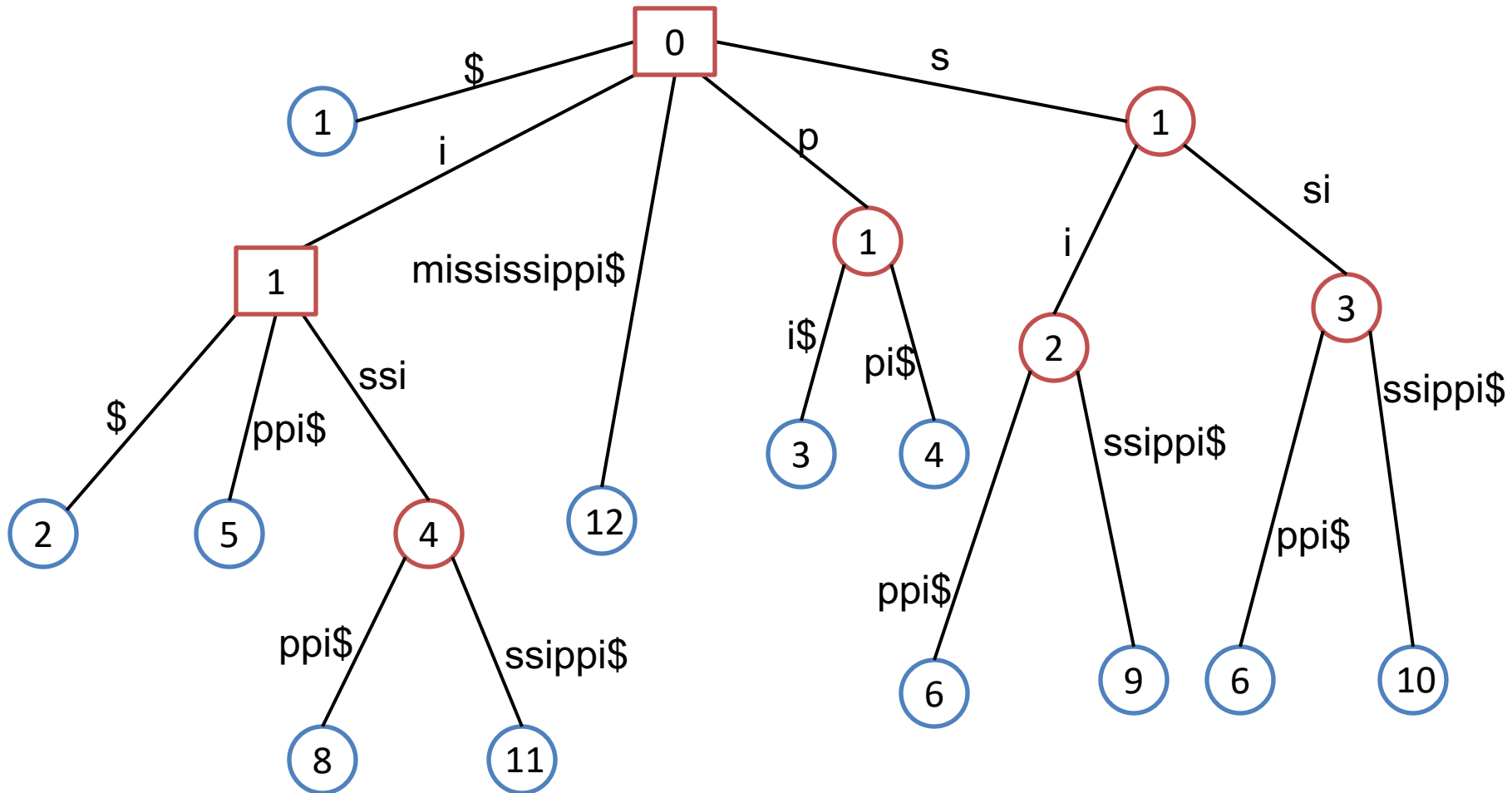


= Leaf node with suffix length



= Internal node with LCP value

SA + LCPs = 1, 0, 2, 1, 5, 1, 8, 4, 11, 0, 12, 0, 3, 1, 4, 0, 6, 2, 9, 1, 7, 3, 10
(interleaved)



Suffix Array to Suffix Tree (in parallel)

Suffix array + Longest Common Prefixes



Karkkainen and Sander's algorithm
 $O(n)$ work and $O(\log^2 n)$ span



(interleave SA and LCPs)



Multiway Cartesian tree



(label edges,
insert into hash table)

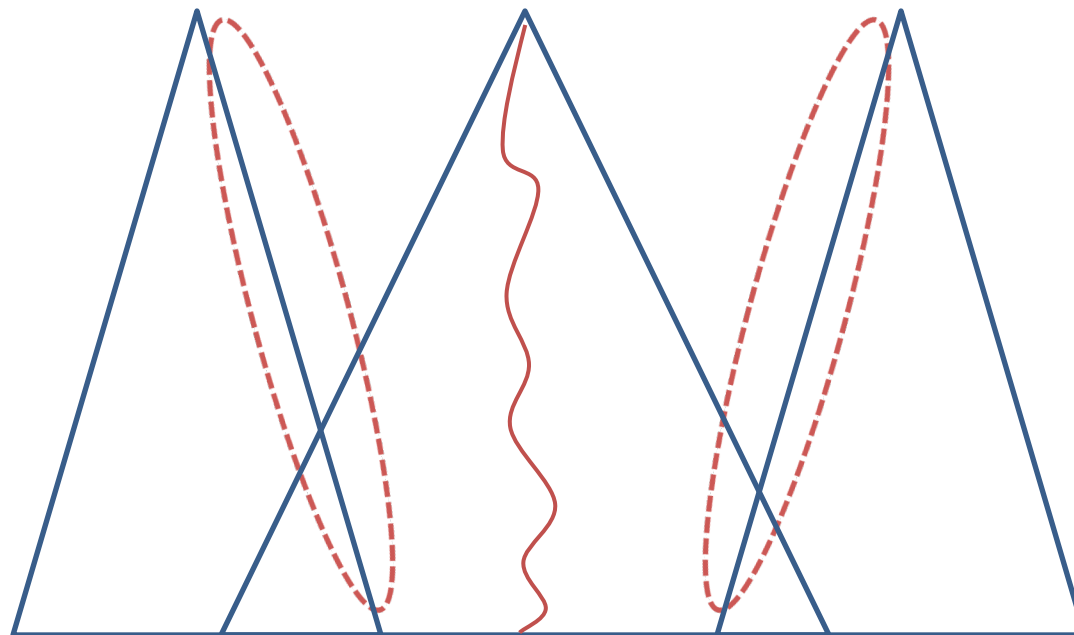
Suffix tree

Cartesian Tree (in parallel)

- Divide-and-conquer approach
- Merge spines of subtrees (represented as lists) together using standard techniques

SA + LCPs =

1, 0, 2, 0, 5, 1, 8, 1, 11, 4, 12, 0, 3, 0, 4, 1, 6, 0, 9, 2, 8, 1, 7, 3, 10

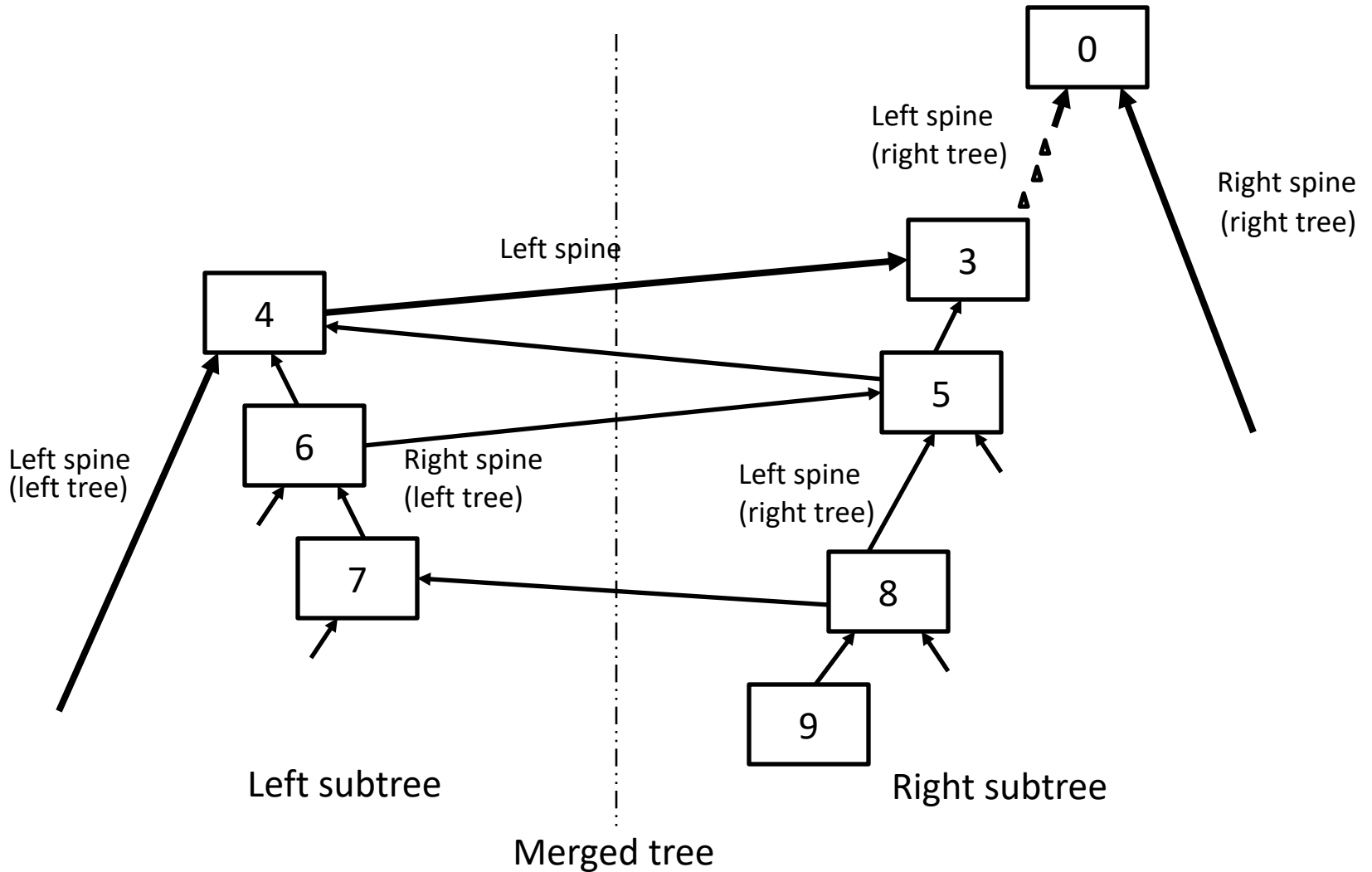


Left subtree

Merged tree

Right subtree

Cartesian Tree (in parallel)



Cartesian Tree (in parallel)

- Input: Array $A[1\dots N]$

```
Build(A[1...n]){  
  if  $n < 2$  return;  
  else in parallel do:  
     $t1 = \text{Build}(A[1\dots n/2]);$   
     $t2 = \text{Build}(A[(n/2)+1\dots n]);$   
  Merge( $t1, t2$ );  
}
```

```
Merge( $t1, t2$ ){  
  R-spine = rightmost branch of  $t1$ ;  
  L-spine = leftmost branch of  $t2$ ;  
  use a parallel merge algorithm  
  on R-spine and L-spine;  
}
```

String = mississippi\$

○ = Leaf node with suffix length

○ = Internal node with LCP value

SA + LCPs = 1, 0, 2, 1, 5, 1, 8, 4, 11, 0, 12, 0, 3, 1, 4, 0, 6, 2, 9, 1, 7, 3, 10
(interleaved)

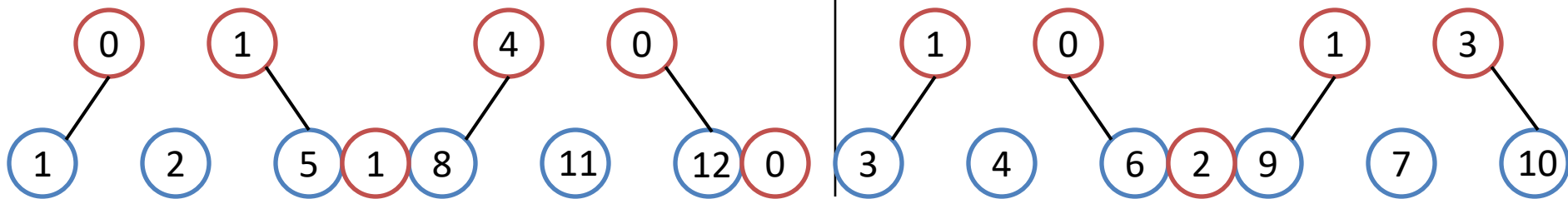


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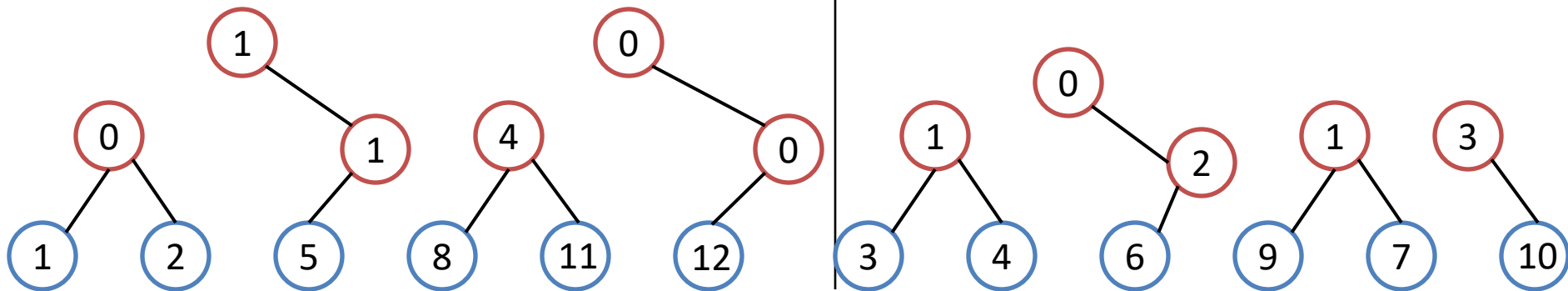


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(interleaved)

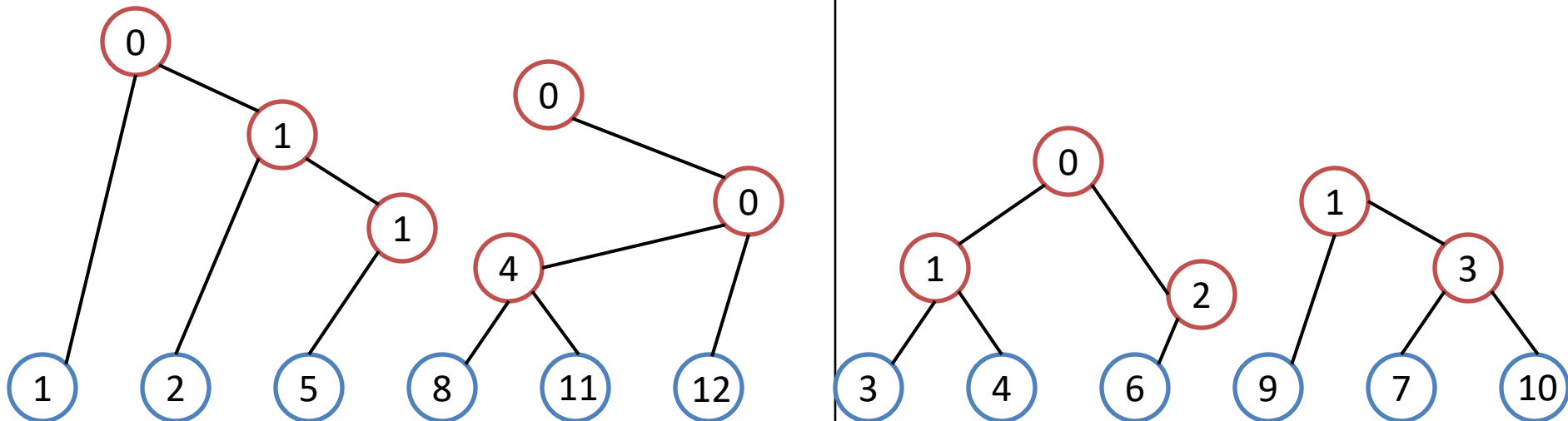


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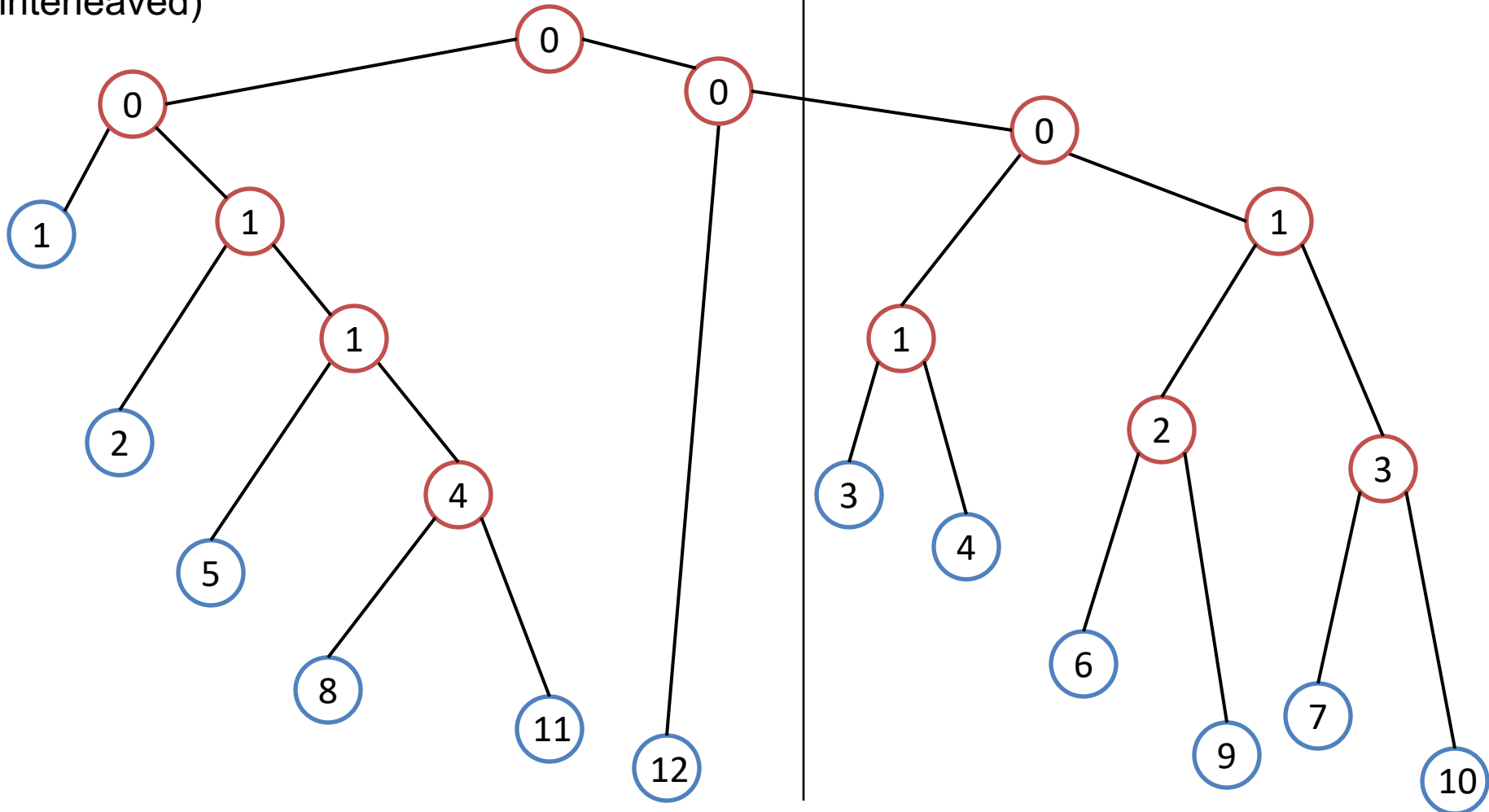


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String = mississippi\$

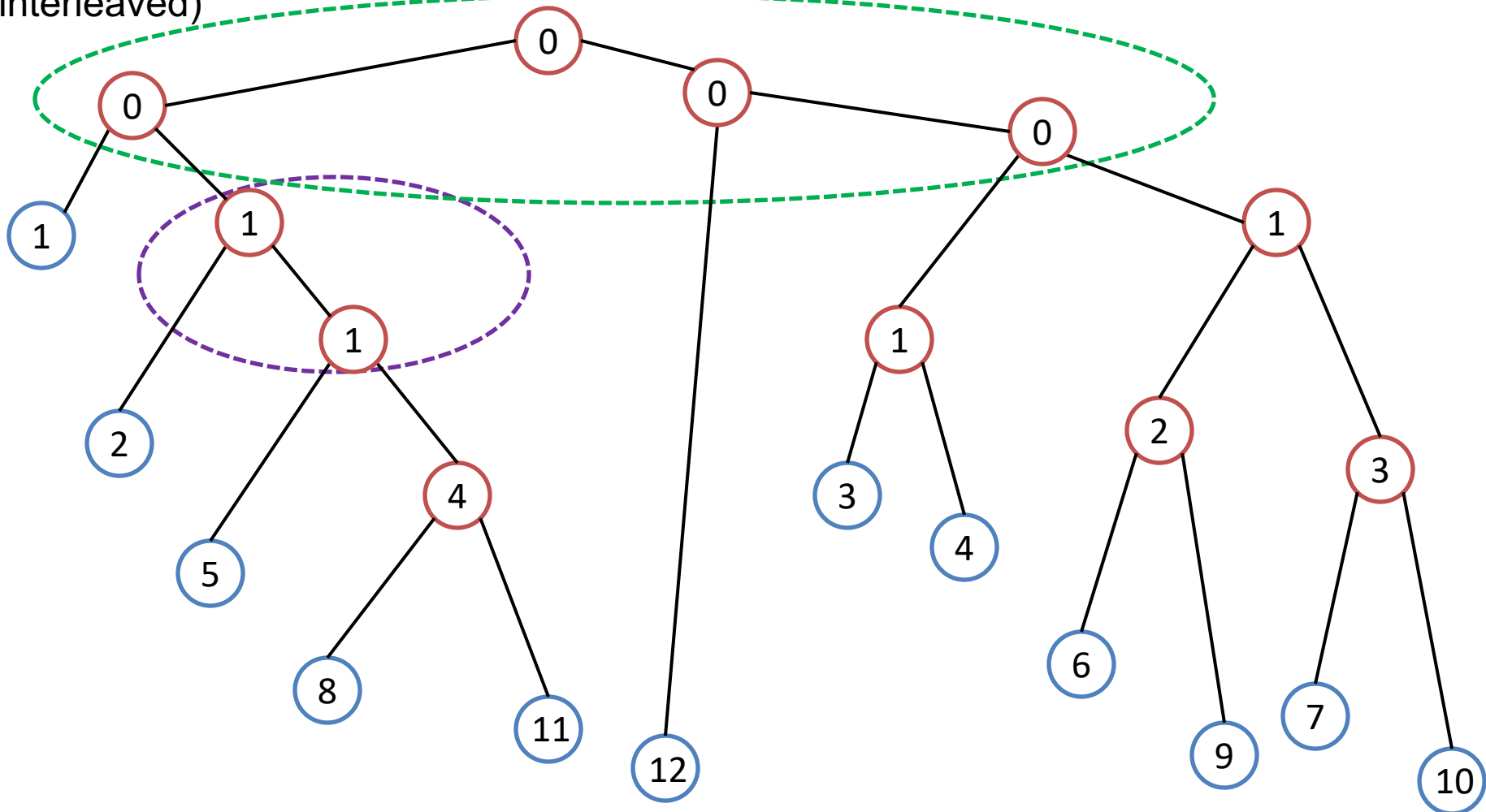


= Leaf node with suffix length



= Internal node with LCP value

SA + LCPs = 1, 0, 2, 1, 5, 1, 8, 4, 11, 0, 12, 0, 3, 1, 4, 0, 6, 2, 9, 1, 7, 3, 10
(interleaved)



String = mississippi\$



= Contracted internal node with LCP value

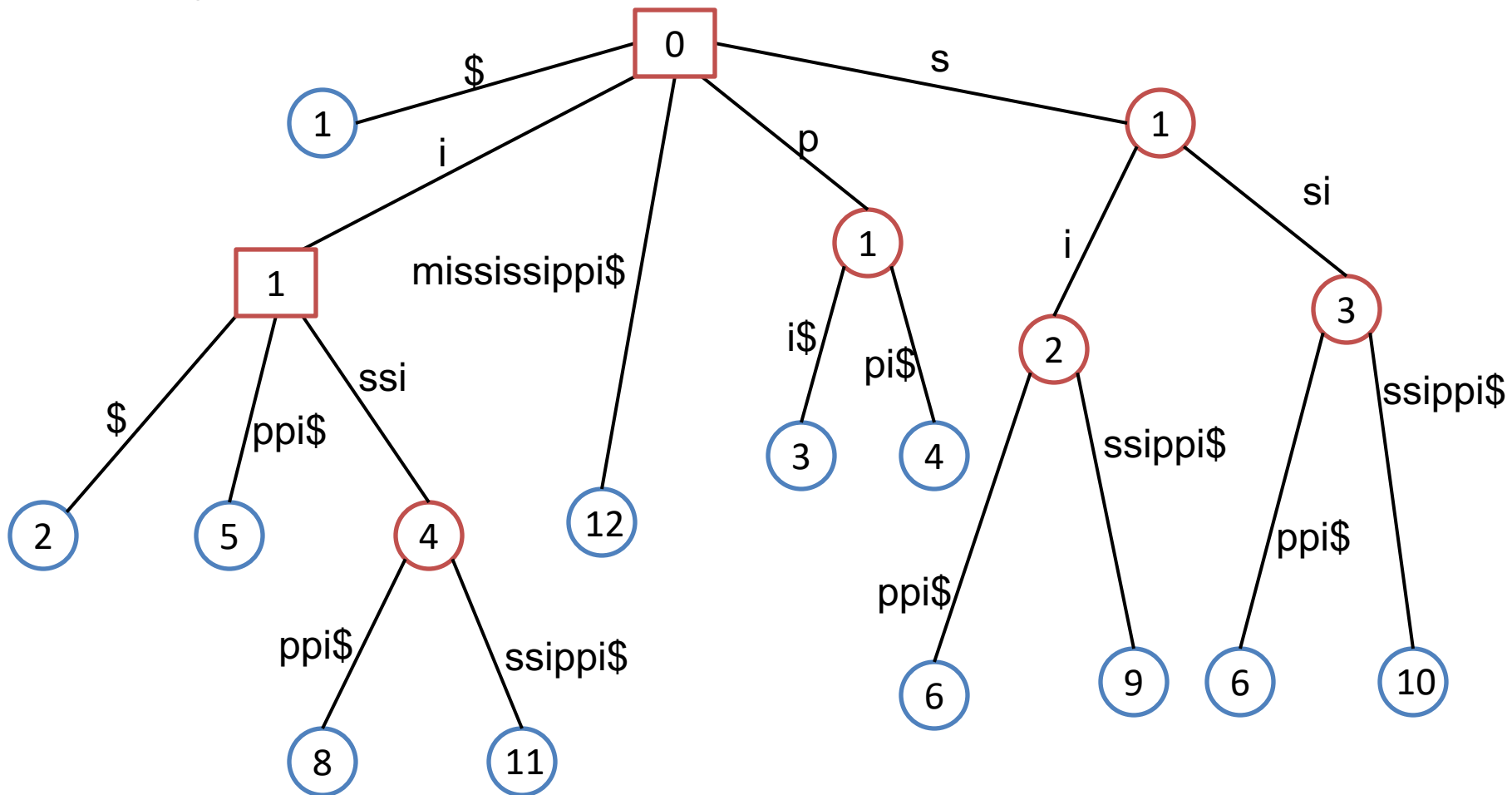


= Leaf node with suffix length



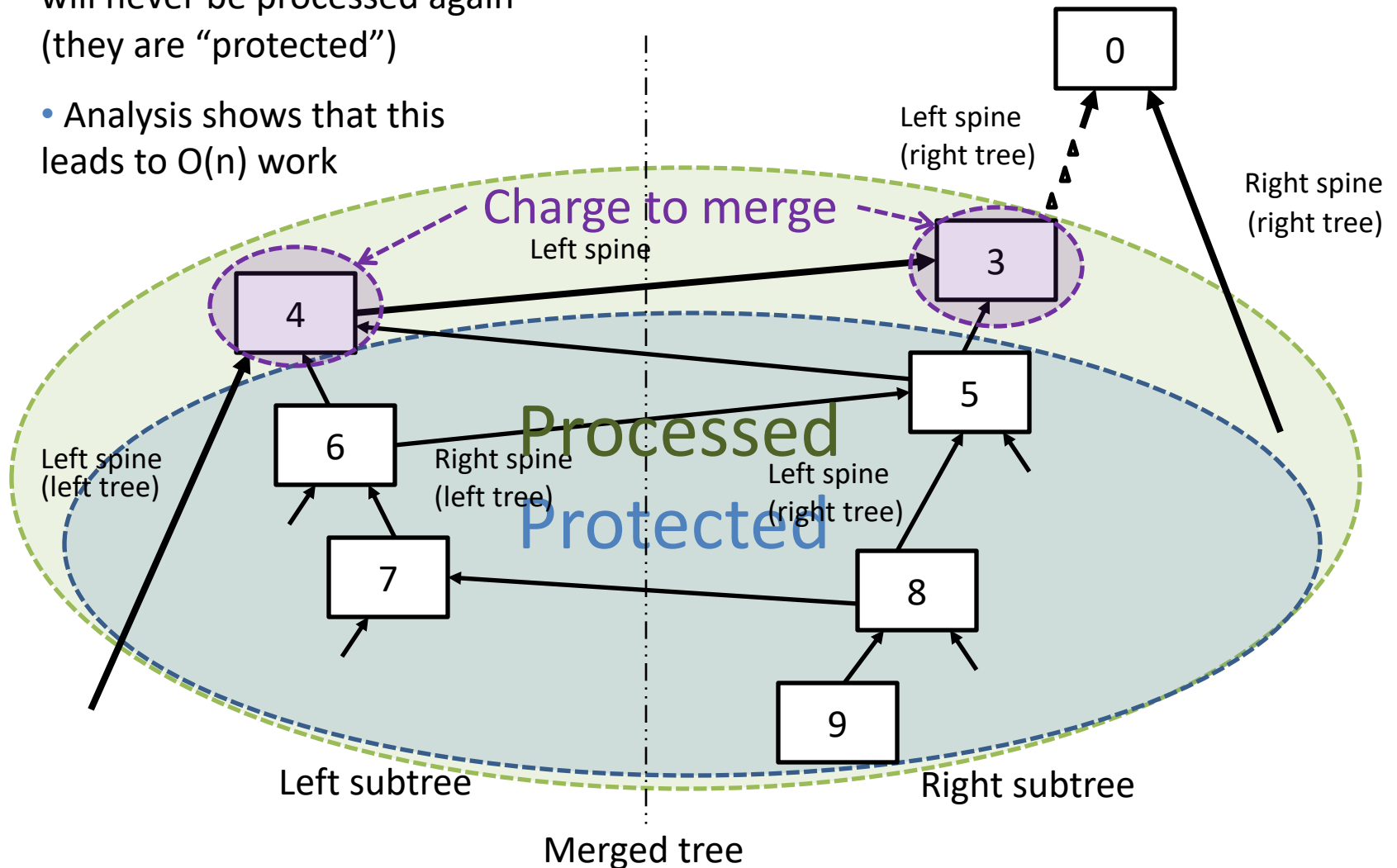
= Internal node with LCP value

SA + LCPs = 1, 0, 2, 1, 5, 1, 8, 4, 11, 0, 12, 0, 3, 1, 4, 0, 6, 2, 9, 1, 7, 3, 10
(interleaved)



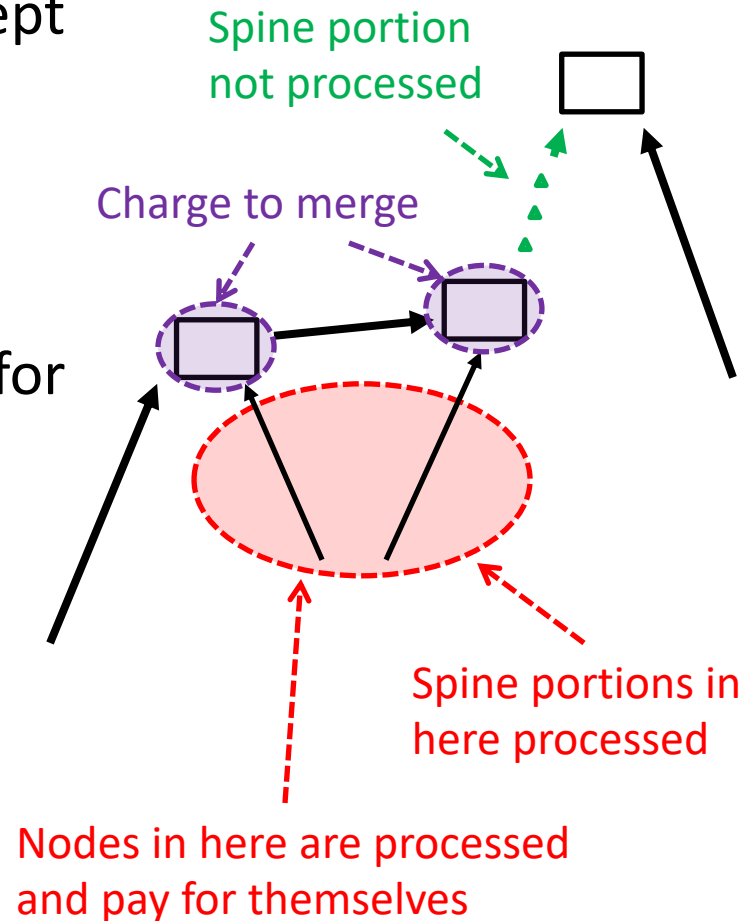
Cartesian Tree (in parallel)

- Almost all merged nodes will never be processed again (they are “protected”)
- Analysis shows that this leads to $O(n)$ work



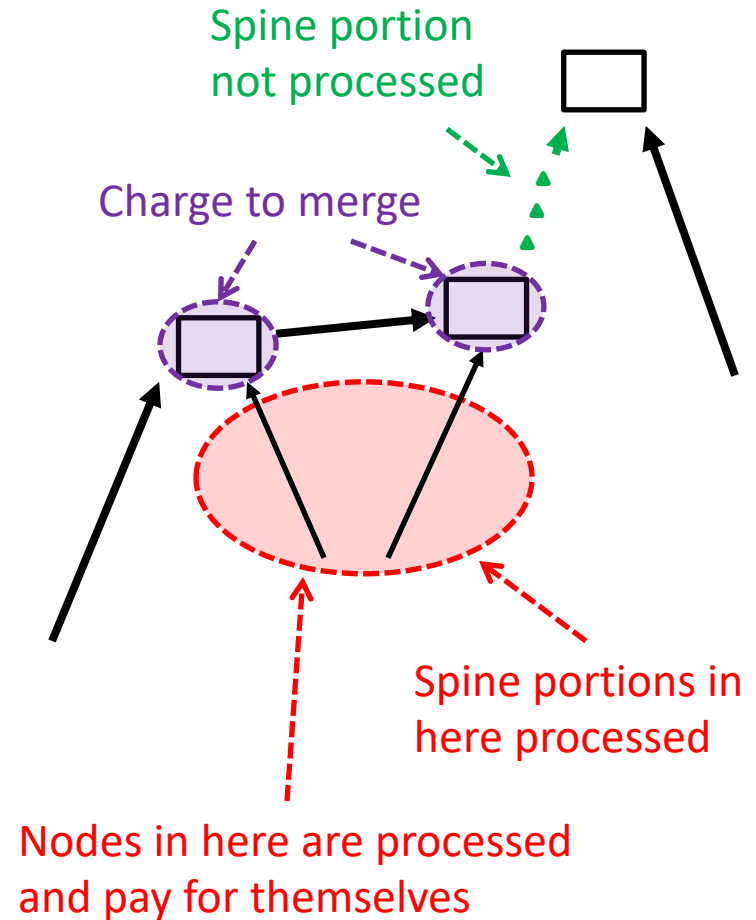
Cartesian Tree - Complexity bounds

- Observation: All nodes touched, except for two, become protected during a merge.
- Charge the processing of those two nodes to the merge itself (there are only $2n-1$ merges). Other nodes pay for themselves and then get protected.
 - It is important that when one spine has been completely processed, the merge does not process the rest of the other spine, otherwise we get $O(n \log n)$ work
- Therefore, the merges contribute a total of $O(n)$ work to the algorithm



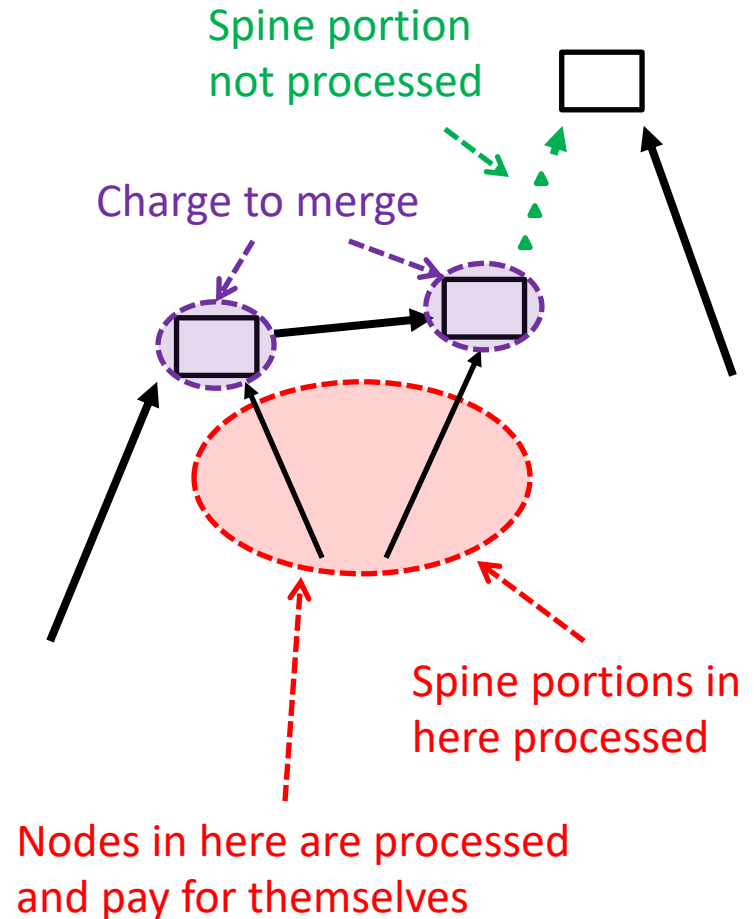
Cartesian Tree - Complexity bounds

- Maintain binary search trees for each spine so that the endpoint of the merge can be found efficiently (in $O(\log n)$ work and span)
- A parallel merge takes $O(\log n)$ span
- Merges contribute $O(n)$ work, and searches in the spine cost $O(\log n)$ work per merge, so $W(n) = 2W(n/2) + O(\log n) = O(n)$
- Span: Recursion is $O(\log n)$ deep and merges + binary search tree operations take $O(\log n)$ span, so the overall span is $S(n) = O(\log^2 n)$



Multiway Cartesian Tree - Complexity bounds

- To obtain multiway Cartesian tree, use parallel tree contraction to compress adjacent nodes with the same value
- This can be done in $O(n)$ work and $O(\log n)$ span, which is within our bounds
- We have a $O(n)$ work and $O(\log^2 n)$ span algorithm for constructing a multiway Cartesian tree



Parallel Cartesian Tree Implementation

```
1 struct node { node* parent; int value; };
2
3 void merge(node* left , node* right) {
4     node* head;
5     if (left->value > right->value) {
6         head = left; left = left->parent;}
7     else {head = right; right= right->parent;}
8
9     while(1) {
10        if (left == NULL) {head->parent = right; break;}
11        if (right == NULL) {head->parent = left; break;}
12        if (left->value > right->value) {
13            head->parent = left; left = left->parent;}
14        else {head->parent = right; right = right->parent;}
15        head = head->parent;}}
16
17 void cartesianTree(node* Nodes, int n) {
18     if (n < 2) return;
19     cilk_spawn cartesianTree(Nodes, n/2);
20     cartesianTree(Nodes+n/2, n-n/2);
21     cilk_sync;
22     merge(Nodes+n/2-1, Nodes+n/2);}
```

Suffix Array to Suffix Tree (in parallel)

Suffix array + Longest Common Prefixes



Karkkainen and Sander's algorithm
 $O(n)$ work and $O(\log^2 n)$ span



(interleave SA and LCPs)



Multiway Cartesian tree



Our parallel merging algorithm
 $O(n)$ work and $O(\log^2 n)$ span



(label edges,
insert into hash table)



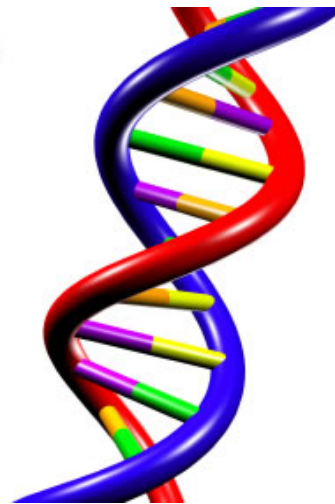
Parallel hash table
 $O(n)$ work and
 $O(\log n)$ span

Suffix tree



Experimental Setup

- Implementations in Cilk Plus
- 40-core Intel Nehalem machine
- Inputs: real-world and artificial texts



RandomTextGenerator.com 8+1 183

Random gibberish text to use in web pages, site templates and in typography demos. Get rid of Lorem Ipsum forever. A tool for web designers who want to save time.

New! Are you already coding the HTML for your web design? Select HTML output from the box below.

Plain text Please select a language

You are viewing dummy text in **English**

New the her nor case that lady paid read. Invitation friendship travelling eat everything the out two. Shy you who scarcely expenses debating hastened resolved. Always polite moment on is warmth spirit it to hearts. Downs those still witty an balls so chief so. Moment an little remain no up lively no. Way brought may off our regular country towards adapted cheered.

Add you viewing ten equally believe put. Separate families my on drawings do oh offended strictly elegance. Perceive jointure be mistress by Jennings properly. An admiration at he discovered difficulty continuing. We in building removing possible suitable friendly on. Nay middleton him admitting consulted and behaviour son household. Recurred advanced he oh together entrance speedily suitable. Ready tried gray state fat could boy its among shall.

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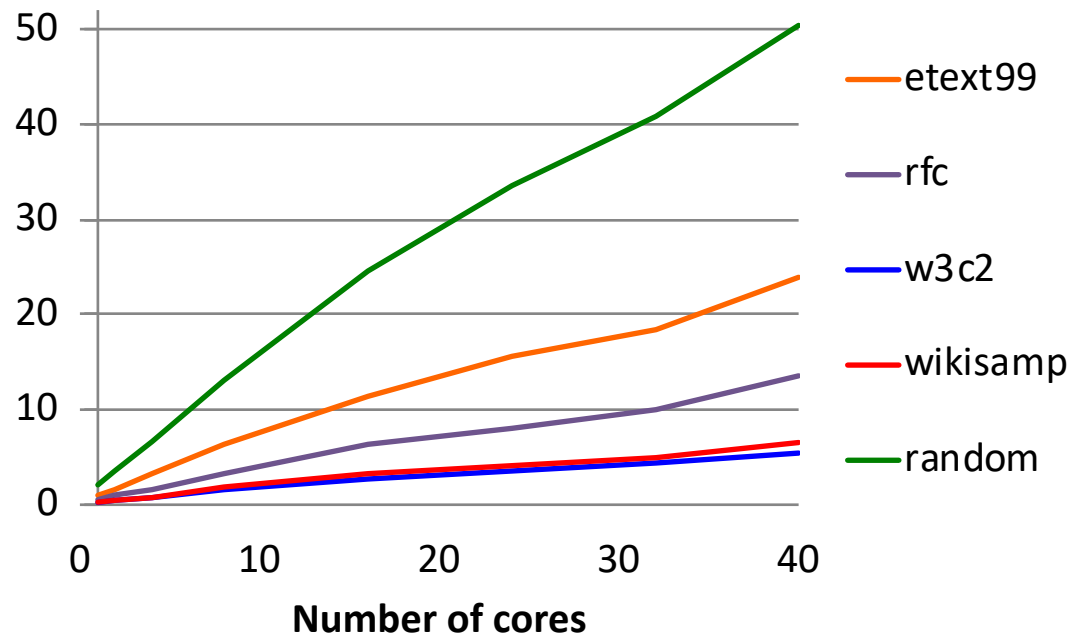
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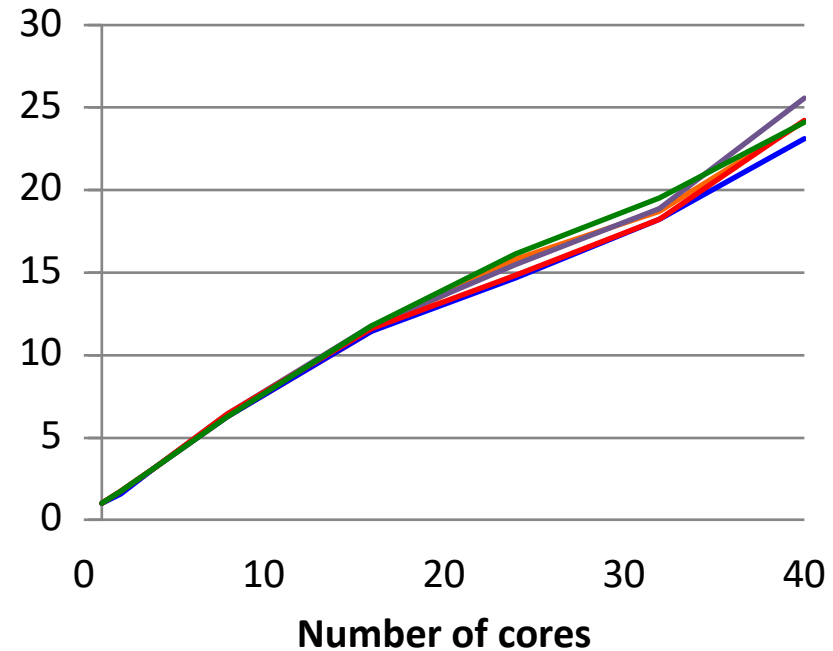
Suffix Tree Experiments

- Compared to best sequential algorithm [Kurtz '99]

Speedup relative to Kurtz

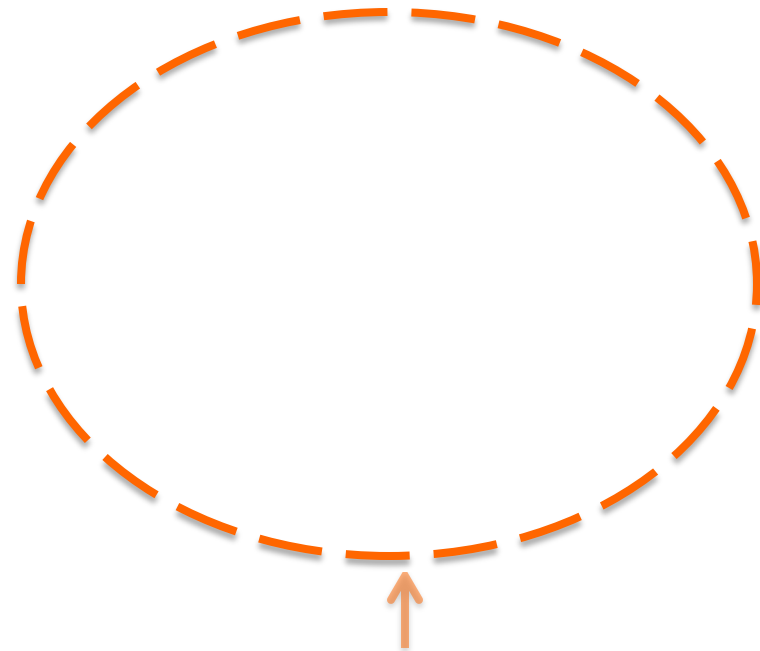


Self-relative speedup



- Speedup varies from 5.4x to 50x on 40 cores
- Self-relative speedup 23x to 26x on 40 cores

Suffix Tree on Human Genome (≈ 3 GB)



- Differences due to various factors
 - Shared memory vs. distributed memory
 - Algorithmic differences

Conclusions

- Developed an $O(n)$ work and $O(\log^2 n)$ span algorithm for parallel multiway Cartesian Tree construction
- This allows us to transform a suffix array into a suffix tree in parallel
- Experiments show that our implementations outperform existing ones and achieve good speedup